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## On Proximality and Sets of Operators. III. Approximation by Finite Rank Operators on Spaces of Continuous Functions

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### INTRODUCTION

If  $A$  is a closed subset of the normed linear space  $X$ , then  $A$  is said to be "proximal" in  $X$  if, for each  $x \in X$ , there is  $y_0 \in A$  such that

$$\|x - y_0\| = d(x, A) = \inf\{\|x - y\|; y \in A\}.$$

In this case  $y_0$  is called a "best approximation" for  $x$  from  $A$ . If  $B$  is a subset of  $X$ , then

$$\delta(B, A) = \sup\{d(x, A); x \in B\},$$

is the deviation of  $B$  from  $A$ , and

$$d_n(B, X) = \inf\{\delta(B, N); N \text{ is an } n\text{-dimensional subspace of } X\}$$

is the Kolmogorov  $n$ -width of  $B$  in  $X$ .

If  $X$  and  $Y$  are normed linear spaces, then  $L(X, Y)$  denotes the set of all bounded linear operators from  $X$  to  $Y$ ,  $K(X, Y)$  the set of all compact operators in  $L(X, Y)$  and  $K_n(X, Y)$  the set of all operators in  $L(X, Y)$  of rank  $\leq n$ .

The first serious study of the proximality of  $K_n(X, Y)$  in  $K(X, Y)$  and  $L(X, Y)$  appeared in the paper of Deutsch, Mach, and Saatkamp [2]. This paper was followed by two others, Kamal [4] and Kamal [5], in which several results concerning the proximality of  $K_n(X, Y)$  in  $K(X, Y)$  and  $L(X, Y)$  were proved. In their paper [2], Deutsch *et al.* proved that for each integer  $n \geq 0$ , the set  $K_n(c_0, c_0)$  is proximal in  $L(c_0, c_0)$ , while in the present paper the following result is proved: Let  $Q$  and  $S$  be locally com-

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compact Hausdorff spaces, and assume that  $S$  contains an infinite convergent sequence of distinct elements. Then, for each  $n \geq 1$ ,  $K_n(C_0(Q), C_0(S))$  is proximal in  $K(C_0(Q), C_0(S))$  if and only if  $Q$  is finite.

Deutsch *et al.* asked whether or not it is true that the set  $K_n(c, c_0)$  is proximal in  $L(c, c_0)$ . In this paper the author continues the study of the proximality of the set  $K_n(K, Y)$  in  $K(X, Y)$  and  $L(X, Y)$ .

In Section 1, it is shown that for each positive integer  $n \geq 1$ , the set  $K_n(c, c_0)$  is not proximal in  $L(c, c_0)$ , this gives a negative solution to part (a) of Problem 5.2.2 of Deutsch *et al.* [2]. In Section 2, it is shown that if  $E = c$  or  $c_0$  then for each positive integer  $n \geq 1$ , the set  $K_n(E, c)$  is not proximal in  $K(E, c)$ . Since by Mach [6], the set  $K(c_0, c)$  is proximal in  $L(c_0, c)$ , it follows that there are Banach spaces  $X$  and  $Y$ , such that the set  $K_n(X, Y)$  is not proximal in  $K(X, Y)$ , whereas the set  $K(X, Y)$  is proximal in  $L(X, Y)$ . The results of Sections 1 and 2 will be used in Section 3 to obtain the main result of this paper which can be stated as follows: if  $Q$  and  $S$  are two locally compact Hausdorff spaces, such that  $S$  contains an infinite convergent sequence of distinct elements, then for each positive integer  $n \geq 1$ , the set  $K_n(C_0(Q), C_0(S))$  is proximal in  $K(C_0(Q), C_0(S))$  if and only if  $Q$  is finite. This result is not generally true if  $S$  fails to contain an infinite convergent sequence of distinct elements, Deutsch *et al.* [2] proved that for any normed linear space  $X$ , the set  $K_n(X, c_0)$  is proximal in  $K(X, c_0)$ . The set that contains an infinite convergent sequence of distinct elements was introduced in Kamal [5] and it was called a set that "Contains  $Q_0$ ." It is shown also in Section 3 that if the locally compact Hausdorff space  $Q$  contains  $Q_0$ , then for each positive integer  $n \geq 1$ , the set  $K_n(C_0(Q), c_0)$  is not proximal in  $L(C_0(Q), c_0)$ . This might help in finding a general solution to part (b) of Problem 5.2.2 of Deutsch *et al.* [2]. The rest of the Introduction will be used to cover the basic definitions and notations that will be used later in this paper.

If  $Q$  is a Hausdorff topological space,  $X$  is a normed linear space and  $\tau$  is a topology defined on  $X$ , then  $C(Q, (X, \tau))$  denotes the set of all bounded function from  $Q$  to  $X$ , which are continuous with respect to  $\tau$ . If  $\tau = \|\cdot\|$  then  $C_0(Q, X) = \{f \in C(Q, (X, \|\cdot\|)); \forall \varepsilon > 0 \text{ the set } \{q \in Q; \|f(q)\| \geq \varepsilon\} \text{ is compact}\}$ . If  $X = R$ , the set of real numbers, then  $C_0(Q, R)$  is denoted by  $C_0(Q)$ . If  $Q$  is the set of all positive integers, then  $C_0(Q, X)$  consists of all bounded sequences in  $X$  that converges to zero, and will be denoted by  $c_0(X)$ . If  $Q$  is the one point compactification of the set of positive integers, then  $C_0(Q, X)$  consists of all bounded convergent sequences in  $X$ , and will be denoted by  $c(X)$ . If  $X^*$  is the dual of  $X$ , then

$$C_0(Q, (X^*, \omega^*)) = \{f \in C(Q, (X^*, \omega^*)); \hat{x} \circ f \in C_0(Q) \forall x \in X\},$$

where  $\hat{x}$  is the image of  $x$  under the canonical injection of  $X$  in  $X^{**}$ .

The proof of the following lemma can be found in Kamal [4].

0.1. LEMMA. Let  $X$  be a Banach space,  $Q$  a locally compact Hausdorff space, and for each nonnegative integer  $n$ , let

$$C_n = \{f \in C_0(Q, X^*); f(Q) \subseteq N \text{ for some } n\text{-dimensional subspace } N \text{ of } X^*\}.$$

Then  $K_n(X, C_0(Q))$  is proximal in  $L(X, C_0(Q))$  [resp.  $K(X, C_0(Q))$ ] if and only if  $C_n$  is proximal in  $C_0(Q, (X^*, \omega^*))$  [resp.  $C_0(Q, X^*)$ ]

0.2. DEFINITION. Let  $X$  be a Banach space,  $Q$  a locally compact Hausdorff space, and  $C_n$  be as in Lemma 0.1.

- (a) For each  $f \in C_0(Q, (X^*, \omega^*))$ , let  $a_n(f)$  denotes  $d(f, C_n)$ .
- (b) For each  $T \in L(X, C_0(Q))$ , let  $a_n(T)$  denotes  $d(T, K_n(X, C_0(Q)))$ .

It is obvious from Lemma 0.1 that there is no problem in introducing the same symbol " $a_n$ " in both cases of Definition 0.2, since  $a_n(f)$  is attained for each  $f \in C_0(Q, (X^*, \omega^*))$  [resp.  $C_0(Q, X^*)$ ] if and only if  $a_n(T)$  is attained for each  $T \in L(X, C_0(Q))$  [resp.  $K(X, C_0(Q))$ ].

### 1. $\mathcal{K}_n(c, c_0)$ IS NOT PROXIMAL IN $L(c, c_0)$

In this section it will be shown that for each positive integer  $n \geq 1$ , the set  $K_n(c, c_0)$  is not proximal in  $L(c, c_0)$ . By Lemma 0.1 it is enough to show that for each positive integer  $n \geq 1$ , there is a bounded sequence  $\{x_i\}_{i=1}^\infty$  in  $c^*$ , that converges to zero with respect to the  $\omega^*$ -topology on  $c^*$ , and  $a_n(\{x_i\}_{i=1}^\infty)$  is not attained, that is there is no bounded sequence  $\{\tau_i\}_{i=1}^\infty$  in any  $n$ -dimensional subspace of  $c^*$  such that  $\tau_i \rightarrow 0$  and  $\|\{x_i\}_{i=1}^\infty - \{\tau_i\}_{i=1}^\infty\| = a_n(\{x_i\}_{i=1}^\infty)$ .

The first step in the proof is to find an  $n$ -dimensional subspace  $N_0$  of  $l_1$ , and finite subset  $D$  of  $l_1$ , such that  $N_0$  is the unique extremal subspace for  $d_n(D, l_1)$ , that is,  $d_n(D, l_1) = \delta(D, N_0)$ , and for any  $n$ -dimensional subspace  $N \neq N_0$  in  $l_1$ ,  $\delta(D, N) > d_n(D, l_1)$ . This will be done in Lemma 1.5. The second step is to find a bounded sequence  $\{y_i\}_{i=1}^\infty$  in  $l_1$  that satisfies certain conditions, and such that  $d(\{y_i\}_{i=1}^\infty, C_0(N_0))$  is not attained. This will be done in Lemma 1.6. In Lemma 1.7, the set  $D$  and the sequence  $\{y_i\}_{i=1}^\infty$  will be used together to obtain the required result.

1.1. DEFINITION. The  $c$ -topology on  $l_1$  is the topology for which a bounded sequence  $\{x^k\}_{k=1}^\infty$  in  $l_1$  converges to zero, iff for each  $y = (y_1, y_2, \dots) \in c$   $\lim_{k \rightarrow \infty} [x_j^k \lim y_i + \sum_{i=2}^\infty x_i^k y_{i-1}] = 0$ , where  $x^k = (x_1^k, x_2^k, \dots)$ .

1.2. PROPOSITION. For each  $x = (x_1, x_2, \dots) \in l_1$ , define the linear functional  $\chi \in C^*$  by  $\chi(y) = x_1 \lim y_i + \sum_{i=2}^{\infty} x_i y_{i-1}$ ,  $y = (y_1, y_2, \dots) \in c$ . Under this identification  $l_1$  is isometric to  $c^*$ , and the  $c$ -topology on  $l_1$  corresponds to the  $\omega^*$ -topology on  $c^*$ .

1.3. PROPOSITION (Brown [1]). Let  $B$  be an  $(n+1)$ -dimensional normed linear space and let  $L$  be an  $n$ -dimensional subspace of  $B$ . There is a subset  $A$  of  $B$  consisting of  $(n+1)$  points, such that  $d_n(A, B) > 0$  and  $L$  is the unique extremal  $n$ -dimensional subspace of  $B$  for  $d_n(A, B)$ .

1.4.

Let  $\{e'_i\}_{i=1}^{n+1}$  be the standard basis in  $l_{n+1}^1$ , that is  $e'_i = (0, 0, \dots, 0, 1, 0, \dots, 0)$ , and let  $N'_0$  be the subspace generated by  $\{e'_i\}_{i=1}^n$ . By Proposition 1.3, there is a subset  $A$  of  $l_{n+1}^1$  consisting of a finite number of elements, such that  $d_n(A, l_{n+1}^1) = 2$ , and  $N'_0$  is the unique extremal  $n$ -dimensional subspace for  $d_n(A, l_{n+1}^1)$ . Let  $x_i = 4e'_i + 2e'_{n+1} = (0, \dots, 0, 4, 0, \dots, 0, 2) \in l_{n+1}^1$ ,  $i = 1, 2, \dots, n$ . Then  $d(x_i, N'_0) = 2$ , and for each  $i \geq 1$ , the element  $y_i = 4e'_i$  is the unique element in  $N'_0$  such that  $\|x_i - y_i\| = d(x_i, N'_0)$ . Let  $A' = A \cup \{x_1, \dots, x_n\}$ , then

- (1)  $A'$  consists of a finite number of elements,
- (2)  $d_n(A', l_{n+1}^1) = \delta(A', N'_0) = 2$ ,
- (3)  $N'_0$  is the unique extremal subspace for  $d_n(A', l_{n+1}^1)$ , and
- (4) for each  $i = 1, 2, \dots, n$  the element  $y_i = 4e'_i$  is the unique element in  $N'_0$  such that  $\|x_i - y_i\| \leq 2$ .

1.5. LEMMA. Let  $\{e_i\}_{i=1}^{\infty}$  be the standard basis in  $l_1$ , that is  $e_i = (0, 0, \dots, 0, 1, 0, \dots)$ . Let  $\gamma_0 = \sum_{i=n+2}^{\infty} a_i e_i$  be an element in  $l_1$ , let  $i_0$  be a positive integer such that,  $1 \leq i_0 \leq n$  and let  $N_0$  be the  $n$ -dimensional subspace of  $l_1$  generated by

$$\{e_1, \dots, e_{i_0-1}, e_{i_0} + \gamma_0, e_{i_0+1}, \dots, e_n\}.$$

There is a subset  $D$  of  $l_1$ , consisting of a finite number of elements, such that

- (1)  $d_n(D, l_1) = \delta(D, N_0) = 2$ ,
- (2)  $N_0$  is the unique extremal subspace of  $l_1$  for  $d_n(D, l_1)$ .

*Proof.* Let  $A'$ ,  $N'_0$ ,  $\{x_i\}_{i=1}^n$  and  $\{e'_i\}_{i=1}^{n+1}$  be as in 1.4. For  $x' = \sum_{i=1}^{n+1} \alpha_i e'_i$  in  $A'$  one can choose  $y' = \sum_{i=1}^n \lambda_i e'_i \in N'_0$ , such that  $\|x' - y'\| \leq 2$ . Define  $\psi(x') = \sum_{i=1}^{n+1} \alpha_i e_i + \lambda_{i_0} \gamma_0 \in l_1$ . Then

$$\left\| \psi(x') - \left( \sum_{i=1}^n \lambda_i e_i + \lambda_{i_0} (e_{i_0} + \gamma_0) \right) \right\| \leq 2.$$

Let  $D = \{\psi(x'); x' \in A'\}$ , then  $D$  consists of a finite number of elements and

$\delta(D, N_0) = 2$ . To complete the proof it will be shown that if  $N$  is an  $n$ -dimensional subspace of  $l_1$  and  $\delta(D, N) \leq 2$  then  $N = N_0$ . Let  $P: l_1 \rightarrow l_{n+1}^1$  be defined by

$$P((x_i)_{i=1}^\infty) = (x_i)_{i=1}^{n+1}.$$

Clearly  $P(D) = A'$ , and since  $\delta(D, N) \leq 2$  then  $\delta(A', P(N)) \leq 2$ . Thus by 1.4  $P(N) = N'_0$ , therefore  $N$  has a basis of the form

$$d_i = e_i + \sum_{k=n+2}^\infty \beta_k^i e_k, \quad i = 1, 2, \dots, n.$$

Also by 1.4 for each  $i = 1, 2, \dots, n$ ,  $x_i = 4e'_i + 2e'_{n+1} \in l_{n+1}^1$  is contained in  $A'$  and  $4e'_i$  is the unique element in  $N'_0$  that approximates  $x_i$ , so

$$\psi(x_i) = 4e_i + 2e_{n+1} \quad \text{for } i \neq i_0$$

and

$$\psi(x_{i_0}) = 4e_{i_0} + 4\gamma_0 + 2e_{n+1}.$$

Using the fact that  $p(N) = N'_0$ , if  $z_i \in N$  approximates  $\psi(x_i)$ , then

$$z_i = 4e_i \quad \text{for } i \neq i_0$$

and

$$z_{i_0} = 4e_{i_0} + 4\gamma_0.$$

Therefore  $N_0 \subseteq N$ , and since  $\dim N_0 = \dim N = n$ , it follows that  $N_0 = N$ . ■

1.6. LEMMA. Let  $\{e_i\}_{i=1}^\infty$  be the standard basis in  $l_1$ . Let

$$\gamma_0 = \sum_{i=n+2}^\infty \frac{e_i}{2^{i-n-1}} = (0, 0, \dots, 0, \underbrace{\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \dots}_{(n+1)\text{ times}}) \in l_1$$

and let  $N_0$  be the  $n$ -dimensional subspace of  $l_1$  generated by

$$\{-e_1 + \gamma_0, e_2, e_3, \dots, e_n\}.$$

There is a bounded sequence  $\{y_i\}_{i=1}^\infty$  in  $l_1$  with the following properties:

- (1)  $\{y_i\}_{i=1}^\infty$  converges to zero with respect to the  $c$ -topology on  $l_1$ ,
- (2)  $d(\{y_i\}_{i=1}^\infty, c_0(N_0)) = 2$ , and
- (3)  $d(\{y_i\}_{i=1}^\infty, c_0(N_0))$  is not attained.

*Proof.* Let  $\alpha_0 = -e_1 + \sum_{i=2}^\infty e_i/2^{i-1} = (-1, \frac{1}{2}, \frac{1}{4}, \dots) \in l_1$ , and let  $M$  be the one-dimensional subspace generated by  $\alpha_0$ .

For each  $k \geq 1$  let

$$\psi_k = -e_1 + \sum_{i=k}^{\infty} \frac{e_i}{2^{i-k+1}} = (-1, 0, 0, \dots, 0, \frac{1}{2}, \frac{1}{4}, \dots).$$

$(k-2)\text{times}$

It will be shown that for  $k \geq 3$  the point  $\alpha_0$  is the unique best approximation to  $\psi_k$  from  $M$ . Assume that  $d(\psi_k, M) = \|\lambda\alpha_0 - \psi\|$ , then

$$\begin{aligned} \|\lambda\alpha_0 - \psi_k\| &= |\lambda - 1| + \sum_{i=2}^{k-1} \frac{|\lambda|}{2^{i-1}} + \sum_{i=k}^{\infty} \left| \frac{\lambda}{2^{i-1}} - \frac{1}{2^{i-k+1}} \right| \\ &= |\lambda - 1| + |\lambda| \left( 1 - \frac{1}{2^{k-2}} \right) + \left| \frac{2^{k-2} - \lambda}{2^{k-2}} \right|. \end{aligned}$$

The only local minimum points for this equation occur when  $\lambda = 1$ ,  $\lambda = 0$  and  $\lambda = 2^{k-2}$ . If  $\lambda = 1$  then  $\|\lambda\alpha_0 - \psi_k\| = 1 - 2^{-k+2} + 1 - 2^{-k+2} = 2 - 2^{-k+3}$ , if  $\lambda = 0$  then  $\|\lambda\alpha_0 - \psi_k\| = \|\psi_k\| = 2$ , and if  $\lambda = 2^{k-2}$  then  $\|\lambda\alpha_0 - \psi_k\| = 2^{k-2} - 1 + 2^{k-2} - 1 = 2^{k-1} - 2$ . So for  $k \geq 3$ ,  $\min_{\lambda \in R} \|\lambda\alpha_0 - \psi_k\| = \|\alpha_0 - \psi_k\|$ , that is  $\alpha_0$  is the unique best approximation to  $\psi_k$  from  $M$ . For each positive integer  $k \geq 3$ , let  $a_k = 2/\|\alpha_0 - \psi_k\|$ . Since  $\|\alpha_0 - \psi\| < 2$  and  $\|\alpha_0 - \psi\| \rightarrow 2$ , it follows that  $a_k > 1$  and  $a_k \rightarrow 1$ . Let  $\phi_k = a_k \psi_k$ . Then since  $\|\psi_k\| = 2$ , it follows that  $\|\phi_k\| > 2$ ,  $d(\phi_k, M) = 2$  and  $a_k \alpha_0$  is the unique best approximation to  $\phi_k$  from  $M$  when  $k \geq 3$ . Furthermore

(a) Since  $\{\psi_k\}_{k=3}^{\infty}$  converges to zero with respect to the  $c$ -topology on  $l_1$ , it follows that  $\{\phi_k\}_{k=3}^{\infty}$  converges to zero with respect to the same topology.

(b) It will be shown that  $d(\{\phi_k\}_{k=3}^{\infty}, c_0(M))$  is not attained.

Let  $\varepsilon > 0$  be given, and let  $k_0$  be so that for all  $k \geq k_0$

$$\|\phi_k\| \leq 2 + \varepsilon.$$

Define  $\{\tau_k\}_{k=3}^{\infty}$  in  $M$  as follows:

$$\tau_k = \begin{cases} a_k \alpha_0 & \text{if } k \leq k_0 \\ 0 & \text{if } k > k_0 \end{cases}$$

Then  $\{\tau_k\}_{k=3}^{\infty} \in c_0(M)$  and  $\|\{\phi_k\}_{k=3}^{\infty} - \{\tau_k\}_{k=3}^{\infty}\| \leq 2 + \varepsilon$ . Thus  $d(\{\phi_k\}_{k=3}^{\infty}, c_0(M)) \leq 2$ . But the only sequence  $\{\tau_k\}_{k=3}^{\infty}$  in  $M$  for which  $\|\{\phi_k\}_{k=3}^{\infty} - \{\tau_k\}_{k=3}^{\infty}\| = 2$  is  $\{\tau_k\}_{k=3}^{\infty} = \{a_k \alpha_0\}_{k=3}^{\infty}$ , and since  $a_k \rightarrow 1$ , it follows that  $\tau_k \not\rightarrow 0$ . So  $d(\{\phi_k\}_{k=3}^{\infty}, c_0(M))$  is not attained. Define  $P: l_1 \rightarrow l_1$  by

$$P(x_i) = x_1 e_1 + \sum_{i=2}^{\infty} x_i e_{n+i} = (x_1, 0, 0, \dots, 0, x_2, x_3, \dots).$$

$n\text{-times}$

Then  $P$  is an isometry from  $l_1$  into  $l_1$  and  $P(\alpha_0) = -e_1 + \gamma_0$ . Let  $y_1, y_2$  be any two elements in  $N_0$ , and for  $k \geq 3$ , let  $y_k = P(\phi_k)$ . Then the sequence  $\{y_k\}_{k=1}^\infty$  converges to zero with respect to the  $c$ -topology on  $l_1$ . Furthermore if  $k \geq 3$  and  $x = c_1(-e_1 + \gamma_0) + \sum_{i=2}^n c_i e_i$  in  $N_0$ , then

$$\begin{aligned} \|y_k - x\| &= \sum_{i=2}^n |c_i| + \|y_k - c_1(-e_1 + \gamma_0)\| \\ &\geq \|y_k - c_1(-e_1 + \gamma_0)\| \\ &= \|P(\phi_k) - c_1 P(\alpha_0)\| \\ &= \|\phi_k - c_1 \alpha_0\|. \end{aligned}$$

Therefore for  $k \geq 3$ , the element  $a_k(-e_1 + \gamma_0)$  is the unique best approximation to  $y_k$  from  $N_0$ . Thus as in (b) one can show that  $d(\{y_k\}_{k=1}^\infty, c_0(N_0)) = 2$ , and it is not attained. ■

**1.7. LEMMA.** *For each positive integer  $n \geq 1$ , there is a bounded sequence  $\{x_k\}_{k=1}^\infty$  in  $l_1$ , such that  $\{x_k\}_{k=1}^\infty$  converges to zero with respect to the  $c$ -topology on  $l_1$  and  $a_n(\{x_k\}_{k=1}^\infty)$  is not attained.*

*Proof.* Let  $N_0$  and  $\gamma_0$  be as in Lemma 1.6. By Lemma 1.5 "taking  $i_0 = 1$  and replace  $\gamma_0$  by  $-\gamma_0$ " there is a subset  $D$  of  $l_1$  consisting of finite number of elements, such that  $d_n(D, l_1) = \delta(D, N_0) = 2$ , and  $N_0$  is the unique extremal  $n$ -dimensional subspace for  $d_n(D, l_1)$ . Without loss of generality let  $D = \{z_1, \dots, z_m\}$ , and let  $\{y_k\}_{k=1}^\infty$  be as in Lemma 1.6. Define the sequence  $\{x_k\}_{k=1}^\infty$  in  $l_1$  as follows:

$$x_k = \begin{cases} z_k & \text{for } k = 1, \dots, m \\ y_{k-m} & \text{for } k = m+1, m+2, \dots \end{cases}$$

Then  $\{x_k\}_{k=1}^\infty$  converges to zero with respect to the  $c$ -topology on  $l_1$ , and  $a_n(\{x_k\}_{k=1}^\infty) \leq d(\{x_k\}_{k=1}^\infty, c_0(N_0)) = 2$ . Assume that there is an  $n$ -dimensional subspace  $N$  of  $l_1$ , and a sequence  $\{\tau_k\}_{k=1}^\infty$  in  $N$  such that  $\|\{x_k\}_{k=1}^\infty - \{\tau_k\}_{k=1}^\infty\| \leq 2$ , then  $\delta(D, N) \leq 2$  so by lemma 1.5,  $N = N_0$ , and thus by Lemma 1.6  $\tau_k \nrightarrow 0$ . So  $a_n(\{x_k\}_{k=1}^\infty)$  is not attained. ■

**1.8. THEOREM.** *For each positive integer  $n \geq 1$  the set  $K_n(c, c_0)$  is not proximal in  $L(c, c_0)$ .*

*Proof.* Follows from Lemma 0.1, Proposition 1.2, and Lemma 1.7. ■

Theorem 1.8 gives a negative solution to Problem 5.2.2 in Deutsch, Mach and Saatkamp [2] when  $X = c$ .



## 2. $K_n(E, c)$ IS NOT PROXIMAL IN $K(E, c)$ FOR $E = c_0$ AND $c$

In this section it will be shown that for  $E = c_0$  and  $c$  and for each positive integer  $n \geq 1$ , the set  $K_n(E, c)$  is not proximal in  $K(E, c)$ . The argument of the proof is similar to that one in Section 1 and the main step is Lemma 2.1.

2.1. LEMMA. Let  $\{e_i\}_{i=1}^\infty$  be the standard basis in  $l_1$ , let

$$\begin{aligned}\alpha_0 &= e_1 + e_3 + \sum_{k=1}^{\infty} \frac{1}{2^k} e_{2k+2} + \sum_{k=1}^{\infty} \frac{1}{2^k} e_{2k+3} \\ &= (1, 0, 1, \frac{1}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{4}, \dots) \in l_1\end{aligned}$$

and let  $M$  be the one-dimensional subspace of  $l_1$  generated by  $\alpha_0$ . There is a bounded sequence  $\{\beta_i\}_{i=1}^\infty$  in  $l_1$  satisfying the following properties:

- (1)  $\{\beta_i\}_{i=1}^\infty$  converges with respect to the norm-topology on  $l_1$ ,
- (2)  $d(\{\beta_i\}_{i=1}^\infty, c(M)) = 2$ , and
- (3)  $d(\{\beta_i\}_{i=1}^\infty, c(M))$  is not attained.

*Proof.*

Let

$$\begin{aligned}\beta_0 &= (1/2e_1) - (1/2e_3) + \sum_{k=1}^{\infty} (1/2^{k+1}) e_{2k+2} - \sum_{k=1}^{\infty} (1/2^{k+1}) e_{2k+3} \\ &= (\frac{1}{2}, 0, -\frac{1}{2}, \frac{1}{4}, -\frac{1}{4}, \frac{1}{8}, -\frac{1}{8}, \dots) \in l_1\end{aligned}$$

and let  $\{\psi_i\}_{i=1}^\infty$  be the sequence in  $l_1$ , defined as follows:

$$\begin{aligned}\psi_{2i-1} &= \beta_0 + \frac{1}{2^i} e_{2i+3}, \quad i = 1, 2, 3, \dots, \\ \psi_{2i} &= \beta_0 - \frac{1}{2^i} e_{2i+2}, \quad i = 1, 2, 3, \dots\end{aligned}$$

Clearly  $\psi_i \rightarrow \beta_0$ . It will be shown that for each  $i \geq 1$  the element  $\frac{1}{2}\alpha_0$  is the unique best approximation for  $\psi_{2i-1}$  from  $M$ , and  $-\frac{1}{2}\alpha_0$  is the unique best approximation for  $\psi_{2i}$  from  $M$ . Let  $i \geq 1$  be a fixed positive integer. For any real number  $\lambda$

$$\begin{aligned}\|\lambda\alpha_0 - \psi_{2i-1}\| &= \left\| \left[ \lambda(e_1 + e_3) + \sum_{k=1}^{\infty} \frac{\lambda}{2^k} (e_{2k+2} + e_{2k+3}) \right] \right\|\end{aligned}$$

$$\begin{aligned}
& - \left[ \frac{1}{2} (e_1 - e_3) + \sum_{k=1}^{\infty} \frac{1}{2^{k+1}} (e_{2k+2} - e_{2k+3}) + \frac{1}{2^i} e_{2i+3} \right] \Big\| \\
& = \left| \lambda - \frac{1}{2} \right| + \left| \lambda + \frac{1}{2} \right| + \left| \lambda - \frac{1}{2} \right| \cdot \left( \sum_{k=1}^{\infty} \frac{1}{2^k} \right) + \left| \lambda + \frac{1}{2} \right| \\
& \quad \cdot \left( \sum_{\substack{k=1 \\ k \neq i}}^{\infty} \frac{1}{2^k} \right) + \left| \frac{\lambda - (1/2)}{2^i} \right| \\
& = \left| \lambda - \frac{1}{2} \right| \left( 2 + \frac{1}{2^i} \right) + \left| \lambda + \frac{1}{2} \right| \left( 2 - \frac{1}{2^i} \right).
\end{aligned}$$

It follows from this that  $\|\lambda\alpha_0 - \psi_{2i-1}\|$  is minimum only when  $\lambda = \frac{1}{2}$ . In the same way one can show that

$$\|\lambda\alpha_0 - \psi_{2i}\| = \left| \lambda - \frac{1}{2} \right| \left( 2 - \frac{1}{2^i} \right) + \left| \lambda + \frac{1}{2} \right| \left( 2 + \frac{1}{2^i} \right),$$

which is minimum only when  $\lambda = -\frac{1}{2}$ . Thus for each positive integer  $i \geq 1$ ,

$$d(\psi_{2i-1}, M) = \left\| \psi_{2i-1} - \frac{1}{2} \alpha_0 \right\| = 2 - \frac{1}{2^i} < 2,$$

$$d(\psi_{2i}, M) = \left\| \psi_{2i} + \frac{1}{2} \alpha_0 \right\| = 2 + \frac{1}{2^i} < 2,$$

and

$$\|\psi_{2i-1}\| = \|\psi_{2i}\| = 2.$$

For each positive integer  $k \geq 1$ , let  $\lambda_k = 2/d(\psi_k, M)$ , then  $\lambda_k > 1$  and  $\lambda_k \rightarrow 1$ . Let  $\beta_k = \lambda_k \psi_k$ , then

(1) Since  $\psi_k \rightarrow \beta_0$  and  $\lambda_k \rightarrow 1$ , it follows that  $\beta_k \rightarrow \beta_0$ .

(2) It is obvious that  $\|\beta_k\| \rightarrow 2$ , and for each positive integer  $k \geq 1$ ,  $\|\beta_k\| > 2$ , so let  $\varepsilon > 0$  be given, and let  $i_0 \geq 1$  be such that for  $i \geq i_0$ ,  $\|\beta_i\| \leq 2 + \varepsilon$ .

Define the sequence  $\{\tau_k\}_{k=1}^{\infty}$  in  $M$  as follows:

$$\tau_k = \begin{cases} \text{the unique best approximation for } \beta_k \text{ from } M, & \text{if } k \leq i_0 \\ 0 & \text{if } k > i_0. \end{cases}$$

Then  $\{\tau_k\}_{k=1}^{\infty} \in c(M)$ , and  $\|\{\beta_k\}_{k=1}^{\infty} - \{\tau_k\}_{k=1}^{\infty}\| \leq 2 + \varepsilon$ . Thus

$$d(\{\beta_k\}_{k=1}^{\infty}, c(M)) \leq 2.$$

(3) The only sequence  $\{\tau_k\}_{k=1}^\infty$  in  $M$  satisfies the inequality

$$\|\{\beta_k\}_{k=1}^\infty - \{\tau_k\}_{k=1}^\infty\| \leq 2,$$

is the following sequence:

$$\begin{aligned}\tau_{2i-1} &= \frac{1}{2}\lambda_{2i-1}\alpha_0, & i &= 1, 2, \dots, \\ \tau_{2i} &= -\frac{1}{2}\lambda_{2i}\alpha_0, & i &= 1, 2, \dots,\end{aligned}$$

which is not in  $c(M)$ . ■

**2.2. LEMMA.** *For each positive integer  $n \geq 1$ , there is a convergent sequence  $\{x_i\}_{i=1}^\infty$  in  $l_1$  such that  $a_n(\{x_i\}_{i=1}^\infty)$  is not attained.*

*Proof.* Let  $\{e_i\}_{i=1}^\infty$ ,  $\alpha_0$  and  $\{\beta_i\}_{i=1}^\infty$  be as in Lemma 2.1, let

$$\begin{aligned}\gamma_0 &= e_{n+2} + \sum_{k=1}^\infty \frac{1}{2^k} e_{n+2k+1} + \sum_{k=1}^\infty \frac{1}{2^k} e_{n+2k+2} \\ &= (0, \dots, 0, 1, \frac{1}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{4}, \dots) \in l_1,\end{aligned}$$

and let  $N_0$  be the  $n$ -dimensional subspace of  $l_1$  generated by  $\{e_1, e_2, \dots, e_{n-1}, e_n + \gamma_0\}$ . Define  $T: l_1 \rightarrow l_1$  by

$$T((x_i)_{i=1}^\infty) = \sum_{i=1}^\infty x_i e_{n+i-1} = (0, \dots, 0, x_1, x_2, \dots).$$

$T$  is an isometry from  $l_1$  into  $l_1$ , and  $T(\alpha_0) = e_n + \gamma_0$ . Let  $y_i = T(\beta_i)$ ,  $i = 1, 2, \dots$ . Then  $\{y_i\}_{i=1}^\infty$  is a convergent sequence in  $l_1$ , and  $d(\{y_i\}_{i=1}^\infty, c(N_0)) = 2$ . The only sequence  $\{\psi_i\}_{i=1}^\infty$  in  $N_0$  satisfying  $\|\{y_i\}_{i=1}^\infty - \{\psi_i\}_{i=1}^\infty\| = 2$  is the image under the isometry  $T$  of the unique sequence  $\{\tau_i\}_{i=1}^\infty$  in  $M$  satisfying  $\|\{\beta_i\}_{i=1}^\infty - \{\tau_i\}_{i=1}^\infty\| = 2$ . But by Lemma 2.1 this sequence does not converge. So  $d(\{y_i\}_{i=1}^\infty, c(N_0))$  is not attained. By Lemma 1.5 "taking  $i_0 = n$ ," there is a subset  $D$  of  $l_1$  consisting of finite number of elements, such that  $d_n(D, l_1) = \delta(D, N_0) = 2$ , and  $N_0$  is the unique extremal  $n$ -dimensional subspace for  $d_n(D, l_1)$ . Let  $D = \{z_1, \dots, z_m\}$  and define the sequence  $\{x_i\}_{i=1}^\infty$  in  $l_1$  as follows:

$$x_i = \begin{cases} z_i & \text{for } i = 1, 2, \dots, m \\ y_{i-m} & \text{for } i = m+1, m+2, \dots \end{cases}$$

One can easily show that  $\{x_i\}_{i=1}^\infty$  is a convergent sequence in  $l_1$  and  $a_n(\{x_i\}_{i=1}^\infty)$  is not attained. ■

**2.3. COROLLARY.** *If  $E = c$  or  $c_0$  then for each positive integer  $n \geq 1$  the set  $K_n(E, c)$  is not proximal in  $K(E, c)$ .*

*Proof.* Follows from Lemmas 0.1 and 2.2.

### 3. THE PROXIMALITY OF $K_n(C_0(Q), C_0(S))$ IN $K(C_0(Q), C_0(S))$

In this section the proximality of  $K_n(C_0(Q), C_0(S))$  in  $K(C_0(Q), C_0(S))$  and in  $L(C_0(Q), C_0(S))$  will be studied in detail. It will be shown that if  $Q$  and  $S$  are locally compact Hausdorff spaces, and  $S$  contains  $Q_0$ , then  $K_n(C_0(Q), C_0(S))$  is proximal in  $K(C_0(Q), C_0(S))$  iff  $Q$  is finite. It will be shown also that if  $Q$  is a locally compact Hausdorff space, that contains  $Q_0$ , then  $K_n(C_0(Q), c_0)$  is not proximal in  $L(C_0(Q), c_0)$ . The first step in the proof is to show that if  $X$  is a Banach space, and  $Q$  is a locally compact Hausdorff space that contains  $Q_0$ , then the proximality of  $K_n(X, c)$  in  $K(X, c)$  [resp.  $L(X, c)$ ] is a necessary condition for the proximality of  $K_n(X, C_0(Q))$  in  $K(X, C_0(Q))$  [resp.  $L(X, C_0(Q))$ ]. This will be established in Lemma 3.4. The second step is to show that if  $Q$  is a locally compact Hausdorff space,  $Y$  is a closed subset of  $Q$  and  $X$  is a Banach space then the proximality of  $K_n(C_0(Y), X)$  in  $K(C_0(Y), X)$  [resp.  $L(C_0(Y), X)$ ], is a necessary condition for the proximality of  $K_n(C_0(Q), X)$  in  $K(C_0(Q), X)$  [resp.  $L(C_0(Q), X)$ ]. This will be established in Lemma 3.5. Finally the results of Sections 1 and 2 will be used in Theorems 3.6 and 3.8., to obtain the main results.

The closed subspace  $Y$  of the Banach space  $X$  is called a norm-one-complemented subspace of  $X$  if there is a linear projection  $P: X \rightarrow Y$  such that  $\|P\| = 1$ . The proof of the following proposition depends on this property:

**3.1. PROPOSITION.** *Let  $Q$  be a locally compact Hausdorff space,  $E$  a Banach space and  $F$  a norm-one-complemented subspace of  $E$ . If  $a_n(f)$  is attained in  $E$  for each  $f \in C_0(Q, E)$ , then  $a_n(g)$  is attained in  $F$  for each  $g \in C_0(Q, F)$ .*

The proof of the following lemma is straight forward:

**3.2. LEMMA.** *Let  $Q$  be a locally compact Hausdorff space and let  $X = C_0(Q)$ . If  $Q$  is infinite then there is a subspace  $Y$  of  $X^*$  satisfying the following properties:*

- (1)  $Y$  is isometrically isomorphic to  $l_1$ .
- (2)  $Y$  is a norm-one-complemented subspace of  $X^*$ .

Lemma 3.3 is similar to the Extension of Tietze's Theorem due to Dugundji [3].

**3.3. LEMMA.** *Let  $X$  be a Banach space,  $Q$  a locally compact Hausdorff space that contains  $Q_0$  and let  $\{b_i\}_{i=1}^\infty$  be an infinite sequence of distinct elements in  $Q$ , that converges to  $b_0$  in  $Q$ . There is a sequence  $\{\phi_i\}_{i=0}^\infty$  of real-valued functions on  $Q$  with the following properties:*

- (a) For  $i = 1, 2, 3, \dots$ , the function  $\phi_i$  is continuous.
- (b)  $0 \leq \phi_i(q) \leq 1$ , for all  $q \in Q$  and  $i = 0, 1, 2, \dots$
- (c)  $\phi_i(b_j) = \delta_{ij}$  for  $i = 1, 2, 3, \dots$ , and  $j = 1, 2, 3, \dots$
- (d)  $\phi_0(b_i) = 0$  for  $i = 1, 2, 3, \dots$
- (e)  $\sum_{i=0}^{\infty} \phi_i(q) \leq 1$  for all  $q \in Q$ .
- (f) There is a compact subset  $Y$  of  $Q$ , such that  $\sum_{i=0}^{\infty} \phi_i \equiv 0$  outside  $Y$ .
- (g) If  $\{x_i\}_{i=1}^{\infty}$  is a bounded sequence in  $X$  that converges to  $x_0$ , then the function  $f: Q \rightarrow X$  defined by  $f(q) = \sum_{i=0}^{\infty} \phi_i(q) x_i$ , is an element in  $C_0(Q, X)$ .
- (h) If  $X$  is a dual space and  $\{x_i\}_{i=1}^{\infty}$  is a bounded sequence in  $X$ , that is,  $\omega^*$ -convergent to  $x_0$  then the function  $f: Q \rightarrow X$  defined by  $f(q) = \sum_{i=0}^{\infty} \phi_i(q) x_i$ , is an element in  $C_0(Q, (X, \omega^*))$ .

*Proof.* Since  $b_i \rightarrow b_0$  one can show that there is a relatively compact open subset  $U$  of  $Q$ , such that  $\{b_i\}_{i=0}^{\infty} \subseteq U$ . Let  $Y = \bar{U}$ , and let  $g: Q \rightarrow R$  be a continuous function with the following properties:

- (1)  $g(q) = 0$  for  $q \notin U$ , and  $\|g\| = 1$ ,
- (2)  $g(b_i) = 1$  for  $i = 1, 2, \dots$ , and  $g(q) \geq 0$  for all  $q \in Q$ .

Let  $\{V_1, U_1\}$  be an open cover for  $Q$  that satisfies the properties that  $V_1 \cap \{b_i\}_{i=0}^{\infty} = \{b_1\}$ , and  $b_1 \notin U_1$ . Let  $\{\phi'_1, g'_1\}$  be a partition of unity corresponding to  $\{V_1, U_1\}$ . Then  $\phi'_1(b_1) = 1$ ,  $\phi_1(b_i) = 0$  for  $i \neq 1$ ,  $g'_1(b_1) = 0$ , and  $g'_1(b_i) = 1$  for  $i \neq 1$ . Let  $\phi_1 = \phi'_1 \cdot g$  and let  $g_1 = g'_1 \cdot g$ , then  $\phi_1 + g_1 = g$ . By the same method, for each  $n \geq 1$ , "by taking  $g_{n-1}$  in place of  $g$ ," one can show that there are two nonnegative continuous functions  $\phi_n$  and  $g_n$  with the properties that  $\phi_n(b_n) = 1$ ,  $\phi_n(b_i) = 0$  for  $i \neq n$  and  $\phi_n + g_n = g_{n-1}$ , that is,  $\sum_{i=1}^n \phi_i + g_n = g$ . Since  $\{\phi_i\}_{i=1}^n$  and  $g_n$  are nonnegative, it follows that for each  $q \in Q$ ,  $\sum_{i=1}^n \phi_i(q) \leq g(q)$ . Thus by induction there are two bounded sequences  $\{\phi_i\}_{i=1}^{\infty}$  and  $\{g_i\}_{i=1}^{\infty}$  of nonnegative continuous functions on  $Q$ , satisfying that  $\phi_i(b_j) = \delta_{ij}$ ,  $0 \leq \phi_i(q) \leq 1$  for each  $q \in Q$ ,  $\phi_i \equiv 0$  outside  $Y$ , and  $\sum_{i=1}^n \phi_i + g_n = g$ . Clearly  $\sum_{i=1}^{\infty} \phi_i(q) \leq g(q)$  for each  $q \in Q$ , so let  $\phi_0 = g - \sum_{i=1}^{\infty} \phi_i$ . Then  $0 \leq \phi_0(q) \leq 1$  for each  $q \in Q$ ,  $\phi_0 \equiv 0$  outside  $Y$ , and  $\phi_0(b_i) = 0$  for  $i = 1, 2, \dots$ . Thus the conditions (a) (f) are satisfied.

- (g) Assume that  $x_i \rightarrow x_0$  in  $X$ , and let  $f: Q \rightarrow X$  be defined by

$$f(q) = \phi_0(q) x_0 + \sum_{i=1}^{\infty} \phi_i(q) x_i \quad \text{for } q \in Q.$$

Then

$$\begin{aligned}
 f(q) &= \left[ \phi_0(q) + \sum_{i=1}^{\infty} \phi_i(q) \right] \cdot x_0 + \sum_{i=1}^{\infty} \phi_i(q) \cdot [x_i - x_0] \\
 &= g(q) x_0 + \sum_{i=1}^{\infty} \phi_i(q) \cdot [x_i - x_0].
 \end{aligned}$$

Since for each  $i \geq 1$  the function  $\phi_i$  is continuous, and since  $\|x_i - x_0\| \rightarrow 0$ , it follows that the function  $\sum_{i=1}^{\infty} \phi_i(q) \cdot [x_i - x_0]$  is continuous. Thus the function  $f$  is continuous, and since  $f \equiv 0$  outside  $y$  then  $f \in C_0(Q, X)$ .

The proof of (h) is similar to that of (g). ■

**3.4. LEMMA.** *Let  $X$  be a Banach space, and let  $Q$  be a locally compact Hausdorff space that contains  $Q_0$ . If  $K_n(X, c)$  is not proximal in  $K(X, c)$  [resp.  $L(X, c)$ ], then  $K_n(X, C_0(Q))$  is not proximal in  $K(X, C_0(Q))$  [resp.  $L(X, C_0(Q))$ ].*

*Proof.* Assume that  $K_n(X, c)$  is not proximal in  $K(X, c)$  [resp.  $L(X, c)$ ]. Then by Lemma 0.1 there is a convergent [resp.  $\omega^*$ -convergent] sequence  $\{x_i\}_{i=1}^{\infty}$  in  $X^*$  such that

$$a_n(\{x_i\}_{i=1}^{\infty}) = \inf \{d(\{x_i\}_{i=1}^{\infty}, c(N)); \dim N \leq n, N \subseteq X^*\}$$

is not attained.

Since  $Q$  contains  $Q_0$ , it follows that there is an infinite sequence  $\{b_i\}_{i=1}^{\infty}$  of distinct elements in  $Q$ , that converges to some point  $b_0$  in  $Q$ . As in Lemma 3.3, let  $\{\phi_i\}_{i=0}^{\infty}$  be a sequence of nonnegative functions defined on  $Q$ , corresponding to  $\{b_i\}_{i=1}^{\infty}$ . Define  $f: Q \rightarrow X^*$  by  $f(q) = \sum_{i=0}^{\infty} \phi_i(q) x_i$ . Then by Lemma 3.3,  $f \in C_0(Q, X^*)$  [resp.  $f \in C_0(Q, X^*, \omega^*)$ ], and  $f(b_i) = x_i$  for each  $i = 1, 2, \dots$ . It will be shown that  $a_n(f) = a_n(\{x_i\}_{i=1}^{\infty})$ . Let  $g: Q \rightarrow X^*$  be a continuous function with  $g(Q) \subseteq N$  for some  $n$ -dimensional  $N$  of  $X^*$ , and let  $y_i = g(b_i)$ . Since  $b_i \rightarrow b_0$ , then the sequence  $\{y_i\}_{i=1}^{\infty}$  converges to  $y_0 = g(b_0)$ , and

$$\|\{x_i\}_{i=1}^{\infty} - \{y_i\}_{i=1}^{\infty}\| = \sup_i \|f(b_i) - g(b_i)\| \leq \|f - g\|.$$

Therefore  $a_n(\{x_i\}_{i=1}^{\infty}) \leq a_n(f)$ . Second, let  $\{y_i\}_{i=1}^{\infty}$  be a convergent sequence in an  $n$ -dimensional subspace  $N$  of  $X^*$ , and define  $g: Q \rightarrow N$  by  $g(q) = \sum_{i=0}^{\infty} \phi_i(q) y_i$ . By Lemma 3.3,  $g \in C_0(Q, N)$ , and for each  $q \in Q$

$$\begin{aligned}
 \|(f - g)(q)\| &= \left\| \sum_{i=0}^{\infty} \phi_i(q)(x_i - y_i) \right\| \leq \sum_{i=0}^{\infty} \phi_i(q) \|x_i - y_i\| \\
 &\leq \sup_i \|x_i - y_i\| = \|\{x_i\}_{i=1}^{\infty} - \{y_i\}_{i=1}^{\infty}\|.
 \end{aligned}$$

Thus  $\|f - g\| \leq \|\{x_i\}_{i=1}^{\infty} - \{y_i\}_{i=1}^{\infty}\|$ , therefore  $a_n(f) \leq a_n(\{x_i\}_{i=1}^{\infty})$ . It is clear from the first part of the proof, that if  $a_n(f)$  is attained then

$a_n(\{x_i\}_{i=1}^\infty)$  is attained. But  $a_n(\{x_i\}_{i=1}^\infty)$  is not attained, so  $a_n(f)$  is not attained. ■

3.5. LEMMA. Let  $Q$  be a locally compact Hausdorff space,  $Y$  a closed subset of  $Q$  and let  $X$  be a Banach space. If  $K_n(C_0(Y), X)$  is not proximal in  $K(C_0(Y), X)$  [resp.  $L(C_0(Y), X)$ ] then  $K_n(C_0(Q), X)$  is not proximal in  $K(C_0(Q), X)$  [resp.  $L(C_0(Q), X)$ ].

*Proof.* Let  $P: C_0(Q) \rightarrow C_0(Y)$  be defined by

$$P(f) = f|_Y, f \in C_0(Q).$$

$P$  is a linear and onto mapping with  $\|P(f)\| \leq \|f\|$  for each  $f \in C_0(Q)$ . Let  $T$  be an operator in  $K(C_0(Y), X)$  [resp.  $L(C_0(Y), X)$ ], then  $T' = T \circ P$  is an operator in  $K(C_0(Q), X)$  [resp.  $L(C_0(Q), X)$ ]. It will be shown that  $a_n(T) = a_n(T')$ , and if  $a_n(T)$  is not attained then  $a_n(T')$  is not attained. If  $K: C_0(Y) \rightarrow X$  is a bounded linear operator of rank less than or equal to  $n$ , then  $K' = K \circ P$  is an operator in  $K_n(C_0(Q), X)$ . Furthermore

$$\|T' - K'\| \leq \|P\| \|T - K\| = \|T - K\|.$$

Thus  $a_n(T') \leq a_n(T)$ .

Second, let  $K' \in K_n(C_0(Q), X)$ , then there are  $\{\mu_k\}_{k=1}^n$  in  $(C_0(Q))^*$ , and  $\{x_k\}_{k=1}^n$  in  $X$ , such that for each  $f \in C_0(Q)$

$$K'(f) = \sum_{k=1}^n \mu_k(f) \cdot x_k.$$

For each  $k = 1, 2, \dots, n$ , let  $\mu'_k = \mu_k|_Y$ , and let  $K: C_0(Y) \rightarrow X$  be defined by

$$K(f) = \sum_{k=1}^n \mu'_k(f) \cdot x_k, \quad f \in C_0(Y).$$

Clearly  $K \in K_n(C_0(Y), X)$ . It will be shown that for each  $f \in C_0(Y)$  with  $\|f\| \leq 1$ , there is a net  $\{f_\alpha\}_{\alpha \in I}$  in the unit ball of  $C_0(Q)$  such that

$$\|(T - K)(f)\| \in \overline{\{\|(T' - K')(f_\alpha)\|\}_{\alpha \in I}},$$

If this is true then  $\|T - K\| \leq \|T' - K'\|$ , and therefore  $a_n(T) = a_n(T')$ . Let  $\{U_\alpha\}_{\alpha \in I}$  be the family of all open sets in  $Q$  that contain  $Y$ . For each  $\alpha \in I$ , there is a continuous function  $g_\alpha: Q \rightarrow \mathbb{R}$  with the following properties:

$$g_\alpha(q) = \begin{cases} 1 & \text{for } q \in Y, \\ 0 & \text{for } q \notin U_\alpha, \end{cases}$$

and

$$0 \leq g_\alpha(q) \leq 1 \quad \text{for all } q \in Q.$$

On the other hand by Tietze's Extension Theorem, there is a function  $F \in C_0(Q)$ , such that  $\|F\| \leq \|f\|$  and  $F|_Y = f$ . Let  $f_\alpha = F \cdot g_\alpha$ . Then the net  $\{f_\alpha\}_{\alpha \in I}$  is contained in the unit ball of  $C_0(Q)$ . Furthermore for each  $\alpha \in I$ ,  $T'(f_\alpha) = T(f)$ , and since  $Q$  is a Hausdorff space, it follows that  $\bigcap_{\alpha \in I} \bigcup_\alpha = Y$ , thus for each  $k = 1, 2, \dots, n$ ,  $\mu'_k(f) \in \overline{\{\mu_k(f_\alpha)\}_{\alpha \in I}}$ . Hence

$$\|(T - K)(f)\| \in \overline{\{\|(T' - K')(f_\alpha)\|\}_{\alpha \in I}}.$$

It is clear from the proof that if  $a_n(T')$  is attained then  $a_n(T)$  is attained. ■

**3.6. THEOREM.** *If  $Q$  is a locally compact Hausdorff space, that contains  $Q_0$  then for each positive integer  $n \geq 1$ , the set  $K_n(C_0(Q), c_0)$  is not proximal in  $L(C_0(Q), c_0)$ .*

*Proof.* By Theorem 1.8, the set  $K_n(c, c_0)$  is not proximal in  $L(c, c_0)$ . Since  $Q$  contains  $Q_0$ , it contains an infinite convergent sequence  $\{b_i\}_{i=1}^\infty$  of distinct elements, but then  $c = C(\overline{\{b_i\}_{i=1}^\infty})$ . So by Lemma 3.5, the set  $K_n(C_0(Q), c_0)$  is not proximal in  $L(C_0(Q), c_0)$ . ■

*Note.* Theorem 3.6 is not generally true if  $Q$  fails to contain  $Q_0$ , indeed by Deutsch *et al.* [2], the set  $K_n(c_0, c_0)$  is proximal in  $L(c_0, c_0)$ .

**3.7. LEMMA.** *Let  $Q$  be a locally compact Hausdorff space. If  $Q$  is infinite then for each positive integer  $n \geq 1$ , the set  $K_n(C_0(Q), c)$  is not proximal in  $K(C_0(Q), c)$ .*

*Proof.* By Corollary 2.3, the set  $K_n(c, c)$  is not proximal in  $K(c, c)$ . Thus by Lemma 0.1, there is a convergent sequence  $\{x_i\}_{i=1}^\infty$  in  $l_1$ , such that  $a_n(\{x_i\}_{i=1}^\infty)$  is not attained. By Lemma 3.2,  $l_1$  is isometric to a norm-one-complemented subspace of  $(C_0(Q))^*$ , thus by Proposition 3.1, there is a convergent sequence  $\{y_i\}_{i=1}^\infty$  in  $(C_0(Q))^*$  such that  $a_n(\{y_i\}_{i=1}^\infty)$  is not attained. Therefore by Lemma 0.1, the set  $K_n(C_0(Q), c)$  is not proximal in  $K(C_0(Q), c)$ . ■

**3.8. THEOREM.** *Let  $Q$  and  $S$  be two locally compact Hausdorff spaces, and assume that  $S$  contains  $Q_0$ . Then for any positive integer  $n \geq 1$ , the set  $K_n(C_0(Q), C_0(S))$  is proximal in  $K(C_0(Q), C_0(S))$  iff  $Q$  is finite.*

*Proof.* Assume that  $Q$  is infinite. By Lemma 3.7, the set  $K_n(C_0(Q), c)$  is not proximal in  $K(C_0(Q), c)$ , thus by Lemma 3.4, the set  $K_n(C_0(Q), C_0(S))$  is not proximal in  $K(C_0(Q), C_0(S))$ .

Second, assume that  $Q$  is finite. Then there is a positive integer  $m \geq 1$ , such that  $C_0(Q) = l_m^\infty$ . By Brown [1] the metric projection from  $(l_m^\infty)^* = l_m^1$  onto any of its subspaces has a continuous selection. Thus by Deutsch *et al.* [2] the set  $K_n(C_0(Q), C_0(S))$  is proximal in  $K(C_0(Q), C_0(S))$ . ■



*Note.* Theorem 3.8 is not generally true if  $S$  fails to contain  $Q_0$ . By Deutsch *et al.* [2], the set  $K_n(X, c_0)$  is proximal in  $K(X, c_0)$  for any normed linear space  $X$ , and the set  $K_n(X, l_\infty)$  is proximal in  $L(X, l_\infty)$  for any normed linear space  $X$ .

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