

# Data Retrieval and Aggregates in $SQL^*/NR$

Yiu-Kai Ng

Nael Qaraeen

Computer Science Department

Brigham Young University

Provo, Utah 84602, U.S.A.

Email: ng@cs.byu.edu

## Abstract

Standard  $SQL$  is incapable of handling recursive database queries and nested relations. A proposed solution to allow recursion in  $SQL$  was given in  $SQL^*$  [KC93], while a solution to allow nested relations in  $SQL$  was given in  $SQL/NF$  [RKB87]. However, these two problems with  $SQL$  were handled separately, and an extended  $SQL$  that handles both recursive queries and nested relations is still lacking. To overcome this shortcoming, we propose an extended  $SQL$ , called  $SQL^*/NR$ , that not only can handle both recursive queries and nested relations, but also allows aggregate operators. A query  $Q$  in  $SQL^*/NR$  is processed by first transforming  $Q$  into rule expressions in  $LDL/NR$ , a logic database language for nested relations, and the transformed rule expressions are evaluated for retrieving the desired result of  $Q$ . Transforming  $Q$  into rule expressions in  $LDL/NR$  is desirable since  $LDL/NR$  handles recursion on nested relations with a built-in mechanism for recursive query processing. In this paper, we define  $SQL^*/NR$  and include an approach for transforming  $SQL^*/NR$  queries into rule expressions in  $LDL/NR$ .  $SQL^*/NR$ , as defined, enhances the expressive power of standard  $SQL$  and  $SQL/NF$  and has the expressive advantage over  $SQL^*$ .

**Keywords:** Recursion, nested relation, aggregates, logic programming,  $SQL$

## 1 Introduction

$SQL$  (Structured Query Language), a database query language that has been standardized, has gained lots of popularity and has been supported by most relational database systems since it was first introduced in the 1970's.  $SQL$  is widely accepted because it is a “user-friendly” query language that has a simple, declarative syntax and semantics. However, some database applications have revealed at least two limitations of  $SQL$ . First, standard  $SQL$  is incapable of handling recursive database queries (queries in which a relation is defined in conjunction with its own definition [KC93]). Second, standard  $SQL$  cannot handle nested relations that allow non-atomic (i.e., decomposable) attribute values. These deficiencies in standard  $SQL$  limit its expressive power and capability of handling complex data, respectively.

A proposed solution to the first problem of  $SQL$  was given in [KC93] who defined  $SQL^*$  that extends standard  $SQL$  to allow recursive queries. A solution to the second

problem of *SQL* was proposed by [RKB87] who defined *SQL/NF* that extends standard *SQL* to handle nested relations. However, these two problems with standard *SQL* are not handled by a single *SQL*-type language. An extended *SQL*, called *SQL\*/NR*, that can handle both recursive queries and nested relations, is to be defined in this paper. The development of *SQL\*/NR* was motivated in part by the fact that the nested relational model can be used for storing and retrieving complex data, while an extended *SQL* that allows recursive queries on nested relations enriches the expressive power of standard *SQL* and *SQL/NF*, and has the expressive advantage of the nested relational structure over *SQL\** that operates on flat relations. Furthermore, *SQL\*/NR* allows aggregate operators, such as min, max, sum, etc., that makes the language similar to standard *SQL* and more powerful. *SQL\*/NR*, as defined, enhances database query languages in [RKB87, Uni91] and recursive query languages for flat relations [KC93] by providing better versatility and a richer functionality for expressing complex data. Moreover, *SQL\*/NR* incurs most, if not all, of the standard *SQL*'s advantageous features.

We present the details of *SQL\*/NR* as follows. In Section 2 the basic set of constructs in *SQL\*/NR* are described. Extended *SQL* constructs in *SQL\*/NR*, including subquery components that specify the basis and recursive definitions of a recursive query, are used for creating an *SQL\*/NR* query. To evaluate an *SQL\*/NR* query  $Q$ , we first transform  $Q$  into rule expressions in *LDL/NR*, a logic database language for nested relations. Rule expressions in *LDL/NR* are chosen as an internal representation of  $Q$  because they support languages of *declarative* nature and handle *recursion* and *nested relations*. Formal definitions of these rule expressions and justification for processing *SQL\*/NR* queries by using a logic database language are given in Section 2.3. In Section 3 *SQL\*/NR* queries with aggregate operators are introduced. In Section 4 we give a concluding remarks. In addition, we include in Appendix A the formal syntax of *SQL\*/NR* in BNF notations, and in Appendix B an approach for the transformation of *SQL\*/NR* queries with(out) aggregate operators into rule expressions in *LDL/NR*.

## 2 *SQL\*/NR* Queries and *LDL/NR* Rule Expressions

In this section we first describe *SQL\*/NR* subqueries which comprise the basic constructs of an *SQL\*/NR* query. Hereafter, we present the structure of an *SQL\*/NR* query, and show how *SQL\*/NR* subqueries are embedded in an *SQL\*/NR* query. Finally, rule expressions in *LDL/NR*, which are used for processing a given *SQL\*/NR* query, are introduced. *LDL/NR* is of interest because it captures the constraints of nested relations precisely. Furthermore, since for each complex-object type there is a nested-relation type with the same “information capacity” [Hul86], *LDL/NR* can handle complex-data type queries.

### 2.1 *SQL\*/NR* Subqueries

An *SQL\*/NR* subquery (or subquery for short) allows the user to retrieve data from a set of relations  $S$ . The subquery may comply with specific conditions or constraints that are applied to certain attributes of the relations in  $S$ . This is similar to the functionality provided by *SELECT*, *FROM*, and *WHERE* clauses of standard *SQL*.

The *Select-From-Where* structure of an *SQL\*/NR* subquery, which is a major construct of *SQL\*/NR* and is referred as a **Select-From-Where-Expression** or **SFW-Expression**

for short [RKB87], is made up of the following clauses:

```
SELECT attribute-list
FROM relation-list
[WHERE boolean-expression]
```

This expression can handle queries for nested relations. Since an *SQL\*/NR* subquery is written as an SFW-Expression, a subquery and an SFW-Expression will be interchangeably used throughout the remaining of this paper. Furthermore, we assume that all (atomic and non-atomic) attribute names appearing at all levels of nesting in a nested relation are unique, and apostrophe (') is an invalid symbol in any subquery since it is reserved for the transformation process of an *SQL\*/NR* query.

### 2.1.1 The Select Clause

The **Select** clause, which is the first component of an SFW-Expression, allows the user to specify data items to be included in the final result of a subquery by choosing attributes in different relations referenced in the SFW-Expression. The **Select** clause is of the following format:

**SELECT** *atomic-attr*<sub>1</sub>, ..., *atomic-attr*<sub>n</sub>, *non-atomic-attr*<sub>1</sub>, ..., *non-atomic-attr*<sub>m</sub>

The **Select** clause consists of the keyword **SELECT**, followed by a list of atomic and non-atomic attributes. A non-atomic attribute can be a nested relation that is constructed by an SFW-Expression called an *incremental subquery* [RKB87]. (See Example 4.) The **Select** clause is used for specifying all the desired (atomic and non-atomic) attributes to be included in the result of a subquery. It corresponds to the *extended projection* operation in the extended relational algebra [RKS88].

Assume that *Attr* is an (atomic or non-atomic) attribute in nested relation *r*. If *Attr* appears at the top level scheme of *r*, we can either include *Attr* in the **Select** clause, or concatenate *r* (or its reference name which is also called *tuple variable* in [KS91]) with *Attr* separated by a dot in the **Select** clause. Otherwise, *Attr* must be embedded one level deep in *r*, and we specify *Attr* in the **Select** clause with its preceding (embedded) relations (or their reference names) separated by dots. In addition, each chosen (atomic or non-atomic) attribute *C* can be referenced by a distinct, new column name to distinguish *C* from other chosen attributes. A new column name is specified by including the keyword **AS** and the new name following a chosen attribute name.

**Example 1** Let *Student* be the nested relation in Figure 1. To retrieve all the departments in *Student*, we enter

*SELECT Dept ... or SELECT Student.Dept ...*

To retrieve all the children of a student, we enter

*SELECT Children ... or SELECT Student.Children ...*

To retrieve all students' names and their children names, and reference a student's name and his/her children names by *Sname* and *Child-Name*, respectively, we enter

*SELECT S.Name AS Sname, (SELECT Cname ...) AS Child-Name ...*

where *S* is the reference name of *Student*. □

| Student |      |          |     |           | Has_taken |          |       |
|---------|------|----------|-----|-----------|-----------|----------|-------|
| Name    | SID  | Children |     | Dept      | SID       | Courses  |       |
|         |      | Cname    | Age |           |           | Course#  | Crhrs |
| John    | 5678 | David    | 5   | CS        | 5678      | CS 220   | 3     |
|         |      | Chris    | 10  |           |           | PE 100   | 1     |
| Bill    | 2134 | Denise   | 8   | Chemistry | 2134      | Math 411 | 3     |
|         |      | Jim      | 16  |           |           |          |       |

Figure 1: Nested Relations *Student* and *Has\_taken*

If only certain attributes of a relation  $r$  (which is embedded in a nested relation  $s$ ) along with some attributes in relations  $s_1, \dots, s_n$  are to be included in an embedded relation in the result of a subquery  $Q$ , we could specify an *incremental subquery*  $SQ$  in  $Q$ . (It is assumed that  $s, s_1, \dots$ , and  $s_n$  are specified in the **FROM** clause of  $SQ$  or  $Q$ .) An example of an incremental subquery is (*SELECT Cname ...*) in Example 1. Upon evaluating  $SQ$ , the result of  $SQ$  includes the desired attributes in  $r, s_1, \dots, s_n$ .  $SQ$  is specified as an argument in the **Select** clause of  $Q$ , and the resultant relation of  $SQ$  is given a reference name (i.e., column-name) which is specified after  $SQ$  and the keyword **AS** in the **Select** clause.

### 2.1.2 The From Clause

The **From** clause includes a set of relations used for computing the result of an SFW-Expression. The format of the **From** clause is:

**FROM**  $rel_1, \dots, rel_n$

The **From** clause consists of the keyword FROM followed by a list of constructs  $rel_i, 1 \leq i \leq n$ , where  $rel_i$  denotes either a (an embedded) relation name (which could be followed by an optional *reference name*), a *NEST* operation, or an *UNNEST* operation. (Each of the *NEST* and *UNNEST* operations yields a relation.) The order in which the relation names and the *NEST* and *UNNEST* operations may be arranged in the **From** clause is of no importance. The **From** clause corresponds to the *extended cartesian product* operation in the extended relational algebra [RKS88].

**Example 2** To use the relation Student in Figure 1 in an *SQL\*/NR* subquery  $Q$ , we include FROM *Student* in  $Q$ . To use Student, and Course# and Crhrs of the relation Courses embedded within the nested relation Has\_taken in Figure 1, we include

FROM Student  $S$ , (UNNEST Has\_taken ON Courses)

in a subquery, where  $S$  becomes the reference name of Student.  $\square$

(Embedded) Relations that are listed in the **From** clause are referenced during the evaluation of the SFW-Expression. An embedded relation  $r$  (such as *Courses* in *Has\_taken*) must be specified in the **FROM** clause of an incremental subquery (such as (*SELECT Cname from Courses*)) in a subquery  $Q$ , and the nested relation (such as *Has\_taken*)

within which  $r$  is embedded must be included in the **FROM** clause of  $Q$ . Reference names of relations (such as  $S$  for Student in Example 2) allow the user to specify multiple copies of the same relation and same attribute name appeared in different relations that are included in the **From** clause. *NEST* (resp. *UNNEST*), an aggregating (resp. disaggregating) operation, allow the user to restructure a relation making it more aggregated (resp. flatter), before such a relation is used by the **Select** or **Where** clause in the SFW-Expression. The *NEST* and *UNNEST* operations have the following formats:

(NEST <nested-relation-name> ON attribute-list AS <column-name>) and  
(UNNEST <nested-relation-name> ON <attribute-list>)

where nested-relation-name and attribute-list in *NEST* and *UNNEST* reference a nested relation  $r$  and the attributes in  $r$  on which *NEST* and *UNNEST* are applied, respectively. The keyword **AS** in *NEST* allows the user to give a reference name (i.e., column-name) to the resultant relation of the *NEST* operation.

### 2.1.3 The Where Clause

We use the **Where** clause in an SFW-Expression to specify conditions on attributes that need to be satisfied. The **Where** clause is of the following format:

#### WHERE Boolean-Expression

The **Where** clause, which is optional in an  $SQL^*/NR$  subquery, consists of the keyword **WHERE** followed by a boolean expression that might include  $k$  different components grouped together using a combination of  $m$  different logical ANDs and  $n$  different logical ORs ( $0 \leq m, n \leq k - 1$ , and  $m + n \leq k - 1$ ). The clause references atomic and non-atomic attributes of the (embedded) relations in the **From** clause. These attributes are compared to other attributes or constants using (set) comparison operators. The results of such comparisons are grouped together using logical ANDs or logical ORs (if there are any), and its evaluation yields the final result of the boolean expression of the **Where** clause. The **Where** clause corresponds to the *extended selection* (except the *set membership* operators, **in** and **notin**) in the extended relational algebra that is recursively applied to deal with selections on different levels of nesting in a nested relation, if such selections are required.

**Example 3** To construct a subquery  $Q$  based on Student in Figure 1 in which one of the two conditions must be satisfied: either the age of a student's child is in between 1 and 5, or in between 12 and 15, inclusively, we include

WHERE (C.Age  $\geq$  1 AND C.Age  $\leq$  5) OR (C.Age  $\geq$  12 AND C.Age  $\leq$  15)

in  $Q$ , where  $C$  is the reference name of the relation Children embedded within Student.  $\square$

The selections also handle the comparisons of atomic and non-atomic attributes of two nested relations involved in the *extended natural join* in the extended relational algebra. In the *extended natural join* of two nested relations  $s$  and  $s'$ , two tuples  $t \in s$  and  $t' \in s'$  are *joinable* if the extended intersection on the projections over common (atomic and non-atomic) attributes of  $t$  and  $t'$  is non-empty. This constraint is similar to the constraint of the traditional natural join operation, i.e., two tuples contribute to the join if they agree on common attributes.

## 2.2 $SQL^*/NR$ Queries

This subsection describes the structure of an  $SQL^*/NR$  query (or query for short) which is constructed by using subqueries described in section 2.1.

An  $SQL^*/NR$  query  $Q$ , which can handle recursion on nested relations, consists of an INSERT INTO statement [KC93] that includes the keyword *INSERT INTO* followed by the relation  $R$  (that is computed recursively), one subquery called the *Basis-subquery*, and  $n$  ( $0 \leq n$ ) different *ALSO* statements, each of which contains a subquery called *Recursive-subquery*. The format of  $Q$  is as follows:

```

INSERT INTO  $R$ 
       $Basis\text{-}subquery$ 
ALSO
       $Recursive\text{-}subquery_1$ 
...
ALSO
       $Recursive\text{-}subquery_n$ 

```

The relation  $R$  referenced in the INSERT INTO statement of an  $SQL^*/NR$  query  $Q$  is the relation to be computed recursively using itself and other relations  $r_1, r_2, \dots, r_n$  that are specified in the *Basis-subquery* or *Recursive-subqueries* of  $Q$ .

A recursive query in  $SQL^*/NR$  consists of at least two subqueries, a *Basis-subquery* and a *Recursive-subquery*, which are  $SQL^*/NR$  subqueries. The main difference between the *Basis-subquery* and the *Recursive-subqueries* in a query  $Q$  is that any relation specified in  $Q$  can be referenced by any of these subqueries, except the computed relation  $R$  which can only be referenced in the *Recursive-subqueries* but not in the *Basis-subquery*. Furthermore, all subqueries must yield *compatible* relation schemes<sup>1</sup>.

**Example 4** Let *Connected* be the nested relation in Figure 2 which contains the flight information about the group of cities that are connected directly with a particular city by a flight and their respective distances. We specify the following  $SQL^*/NR$  query that generates the relation *Reachable* in Figure 2. *Reachable* contains the information about a group of cities that can be reached, either through a direct or an indirect flight, from a particular city.

```

INSERT INTO Reachable
      SELECT Source-City, (SELECT Dest-City
                           FROM Route) AS Cities
FROM Connected
ALSO
      SELECT  $R$ .Source-City, (SELECT Dest-City
                              FROM Route
                              WHERE Dest-City  $\neq$   $R$ .Source-City) AS Cities
FROM Connected  $C$ , Reachable  $R$ 
WHERE  $C$ .Source-City in  $R$ .Cities

```

---

<sup>1</sup>Two relation schemes  $R_1(A_1, \dots, A_n)$  and  $R_2(B_1, \dots, B_n)$  are *compatible* if  $A_i \in R_1$  and  $B_i \in R_2$  ( $1 \leq i \leq n$ ) have the same domain and  $A_i = B_i$ .

| Connected          |                  |             | Reachable          |                                    |
|--------------------|------------------|-------------|--------------------|------------------------------------|
| <i>Source-City</i> | <i>Route</i>     |             | <i>Source-City</i> | <i>Cities</i>                      |
|                    | <i>Dest-City</i> | <i>Dist</i> |                    | <i>Dest-City</i>                   |
| Chicago            | New York         | 750         | Chicago            | { New York, Los Angeles }          |
| New York           | Chicago          | 750         | New York           | { Chicago, Los Angeles }           |
|                    | Los Angeles      | 1970        | Boston             | { Chicago, New York, Los Angeles } |
| Boston             | Chicago          | 550         |                    |                                    |

Figure 2: Nested Relations *Connected* and *Reachable*

Note that the SFW-Expression, (SELECT Dest-City FROM Route ...) AS Cities, is an incremental subquery.  $\square$

Further note that tuples in the resultant relation  $R$  generated by the evaluation of an  $SQL^*/NR$  query have unique atomic components, i.e., tuples with the same atomic components are merged, and duplicate tuples are removed with set equality holding on non-atomic attributes. These constraints are applied to each level of nesting in  $R$ .

## 2.3 Rule Expressions in $LDL/NR$

Rule expressions in  $LDL/NR$  are chosen as the internal representation of an  $SQL^*/NR$  query  $Q$  since there exists an efficient implementation of *recursion* in higher-order logic database systems ( $LDL/NR$  is a higher-order logic database language) that guarantees termination and preserves completeness of  $Q$  [LN95a]. A logic (deductive) database system, which has a built-in reasoning capability, may be used both as an inference system and as a representation language [GN90, GM92]. Moreover, the syntax and semantics of higher-order logic, which form the theoretical foundation of a deductive database language, are simple, well-understood, and formally well-defined. We take the advantages of the built-in recursive query processing mechanism provided by deductive database systems to process our  $SQL^*/NR$  queries through the transformation of an  $SQL^*/NR$  query  $Q$  into rule expressions in  $LDL/NR$  which are evaluated to yield the answer of  $Q$ .

$LDL/NR$  restricts  $HILOG$  [CC90] to nested relations, and is simpler in notation than  $HILOG-R$  [CK91] which requires type and named attribute to be attached to each argument of a type declaration and named attribute to each data value in a tuple. Furthermore,  $LDL/NR$  is more complete than  $LDL$  [STZ92] which allows only nested tuples rather than nested sets.

### 2.3.1 Syntax of Rule Expressions in $LDL/NR$

Rule expressions  $REs$  in  $LDL/NR$  are based on the notions of type, term, and formula which in turn are defined on an alphabet in  $LDL/NR$ . Constants and variables in  $REs$  are of *atomic type*. There are two other types, *set type* and *tuple type*, in  $REs$ .

**Definition 1** A *type* in  $REs$  is inductively defined as follows: (i) an *atomic type* is a type, (ii) a *set type* is a type, and for a set-type  $s\{r\}$ ,  $r$  is of either atomic type or tuple type, and (iii) a *tuple type* is a type, and for a tuple-type  $p(s_1, \dots, s_n)$ ,  $s_i$  ( $1 \leq i \leq n$ ) is of either atomic type or set type.  $\square$

Tuple type and set type can be used alternatively to form complex data types, as in [CC90, CK91]. The type declaration  $dept(Dname, projects\{Pname\}, employees\{employee(Ename, EID)\})$  defines  $dept$  which is of tuple type with components  $Dname$ , which is of atomic type, and  $projects$  and  $employees$ , which are of set type.

**Definition 2** Objects of atomic type are called *atomic terms*. A *term* is inductively defined as follows: (i) a constant is a term, (ii) a variable is a term, (iii) for a set-type  $s\{r\}$ , an instance  $s\{t_1, \dots, t_m\}$  is a term called *set term*, where  $t_i$  ( $1 \leq i \leq m$ ) is of type  $r$ , and (iv) for a tuple-type  $p(s_1, \dots, s_n)$ , an instance  $p(t_1, \dots, t_n)$  is a term called *tuple term*, where  $t_i$  is of type  $s_i$ ,  $1 \leq i \leq n$ .  $\square$

**Example 5** An instance of the tuple-type  $dept$  is

$dept(cs, projects\{db, se, lp\}, employees\{employee(smith, 123), employee(jones, 567)\})$ .  $\square$

**Definition 3** A (*well-formed*) *formula*, which is constructed by terms, is inductively defined as follows: (i) a tuple term or set term is a formula, (ii) if  $T$  and  $S$  are terms which form the arguments of a (set) comparison operation  $\theta$ , then  $T\theta S$  is a formula, written as  $\theta(T, S)$ , (iii) if  $F$  is a formula and  $X$  is a variable, then  $\exists X F$  and  $\forall X F$  are formulas, and (iv) if  $F$  and  $G$  are formulas, so are  $\neg F$ ,  $F \vee G$ ,  $F \wedge G$ ,  $F \rightarrow G$ , and  $F \leftrightarrow G$ .  $\square$

A tuple term, set term, or (set) comparison operator with arguments is called an *atom*. A *ground formula* (*term*) is a formula (term) without variables. A *closed formula* is a formula with no free occurrence of any variable.

**Definition 4** A *rule* (*expression*) is of the form  $head :- body$ , where  $head$  is an atom and  $body$  is a conjunction of atoms. A *unit rule* is a rule with an empty body. A *fact* is a ground unit rule.  $\square$

**Example 6** The following rule retrieves all employees who work on one other project besides the  $db$  project:

$works\_on\_pj(Ename) :- employee(Ename, EID), works\_on(EID, wprojs\{Pname, db\})$ .  $\square$

### 2.3.2 Semantics of Rule Expressions in $LDL/NR$

The declarative semantics of rule expressions in  $LDL/NR$  is given by the usual semantics of formulas in  $LDL/NR$ . Meaning for each symbol in a formula should be assigned in order to discuss the truth or falsity of the formula. The various quantifiers and connectives have a fixed meaning, but the meaning assigned to each term can vary [Llo87]. We first define  $LDL/NR$  universe and  $LDL/NR$  base.

**Definition 5** Given an instance  $L$  of  $LDL/NR$ , the  $LDL/NR$  universe  $U$  of  $L$ , denoted  $U_L$ , is the set of all ground atomic terms (constants) of  $L$ , and the  $LDL/NR$  base  $B$  of  $L$ , denoted  $B_L$ , is the set of all ground unit rules of  $L$ .  $\square$

To define formally the meaning of a fact as a logical consequence of a set of facts and  $LDL/NR$  rules, we introduce the concepts of  $LDL/NR$  interpretation and  $LDL/NR$  model.

**Definition 6** Given an instance  $L$  of  $LDL/NR$ , an interpretation for  $L$  is an  $LDL/NR$  interpretation if the following conditions are satisfied: (a) the domain of the interpretation is the  $LDL/NR$  universe  $U_L$ , (b) constants in  $L$  are assigned to “themselves” in  $U_L$ , (c) for a set term  $s\{t_1, \dots, t_m\}$  in  $L$ , the assignment of  $s$  is a mapping from  $U_L^m$  to  $\{true, false\}$ , and (d) for a tuple term  $p(s_1, \dots, s_n)$  in  $L$ , the assignment of  $p$  is a mapping from  $U_L^n$  to  $\{true, false\}$ .  $\square$

**Definition 7** Given an  $LDL/NR$  interpretation  $I$  of an instance  $L$  of  $LDL/NR$  and a closed formula  $F$  of  $L$ ,  $I$  is an  $LDL/NR$  model, which is a subset of  $B_L$ , for  $F$  if  $F$  is true with respect to  $I$  (or  $I$  is a model for  $F$ ). If  $S$  is a set of closed formulas of  $L$ , then  $I$  is an  $LDL/NR$  model for  $S$  if  $I$  is a model for every formula of  $S$ .  $\square$

A set term  $s\{X\}$ , where  $X$  is a variable, denotes a set term of arbitrary cardinality. As in [CC90], it is assumed that the satisfaction of  $s\{e_1, \dots, e_n\}$  by an  $LDL/NR$  interpretation  $I$  implies the satisfaction of  $s\{X\}$  by  $I$ , where  $X \subseteq \{e_1, \dots, e_n\}$ . Furthermore, the satisfactions of  $s\{a_1, \dots, a_n\}$  and  $s\{b_1, \dots, b_m\}$  by  $I$  imply the satisfaction of  $s\{a_1, \dots, a_n, b_1, \dots, b_m\}$  by  $I$ .

## 2.4 Transforming $SQL^*/NR$ Queries into $LDL/NR$ Rule Expressions

We give a few examples below; each of these examples includes an  $SQL^*/NR$  query  $Q$  and the rule expressions in  $LDL/NR$  transformed from  $Q$ . (The description of an approach for transforming an  $SQL^*/NR$  query into  $LDL/NR$  rule expressions is given in Appendix B.2.)

**Example 7** The transformed rule expressions for the  $SQL^*/NR$  query in Example 4 are:

```
reachable(Source-City1, cities{Dest-City1}) :-
    connected(Source-City1, route{route'(Dest-City1, Dist1)}).

reachable(Source-City2, cities{Dest-City1}) :-
    connected(Source-City1, route{route'(Dest-City1, Dist1)}),
    reachable(Source-City2, cities{Dest-City2}),
    in(Source-City1, cities{Dest-City2}),
    Dest-City1 ≠ Source-City2.
```

Subscripted attributes, such as  $Source-City_1$  and  $Source-City_2$ , can be used for distinguishing attributes with the same name from different relations.  $\square$

**Example 8** Let *Parent* be the nested relation in Figure 3, and let  $Q$  be the following  $SQL^*/NR$  query that retrieves all the people who are related to others. The resultant relation *Related* of  $Q$  is shown in Figure 3. It is assumed that Person  $A$  is related to person  $B$  if either (i)  $A$  and  $B$  are sibling, (ii)  $B$ 's parent is related to  $A$ , or (iii)  $A$ 's parent is related to  $B$ .

Note that any reference name  $N$  assigned to an atomic attribute  $A$  in a **Select** clause does not actually change  $A$  to  $N$  in the rule expressions transformed from  $Q$ . It is because  $N$  is used in  $Q$  only to satisfy the constraint of compatible relation scheme or denote a new reference name of  $A$  in  $Q$ . This assumption holds for each of the following examples.

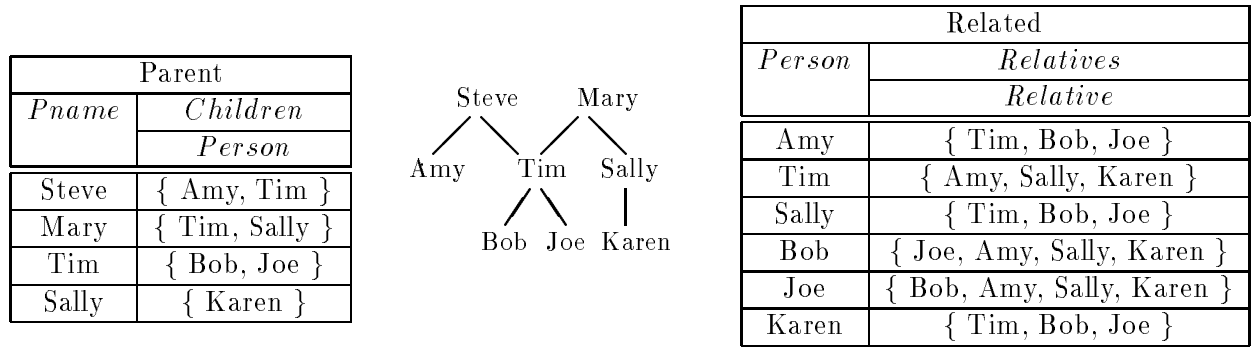


Figure 3: Nested Relations *Parent* (and Its Graph Form) and *Related*

```

INSERT INTO Related
  SELECT P1.Children.Person, (SELECT Person AS Relative
                                FROM P2.Children
                                WHERE Relative ≠ P1.Children.Person) AS Relatives
  FROM Parent P1, Parent P2
  WHERE P1.Pname = P2.Pname
ALSO
  SELECT R.Person, (SELECT Person AS Relative
                    FROM Children) AS Relatives
  FROM Parent P, Related R
  WHERE P.Pname in R.Relatives
ALSO
  SELECT Children.Person, (SELECT Relative
                          FROM Relatives) AS Relatives
  FROM Parent P, Related R
  WHERE Pname = R.Person

```

The transformed rule expressions of  $Q$  are:

$$\begin{aligned}
 \text{related}(Person_1, \text{relatives}\{Person_2\}) &:- \text{parent}(Pname_1, \text{children}\{Person_1\}), \\
 &\quad \text{parent}(Pname_2, \text{children}\{Person_2\}), \\
 &\quad Pname_1 = Pname_2, Person_2 \neq Person_1. \\
 \\
 \text{related}(Person_2, \text{relatives}\{Person_1\}) &:- \text{parent}(Pname_1, \text{children}\{Person_1\}), \\
 &\quad \text{related}(Person_2, \text{relatives}\{Relative_2\}), \\
 &\quad \text{in}(Pname_1, \text{relatives}\{Relative_2\}). \\
 \\
 \text{related}(Person_1, \text{relatives}\{Relative_2\}) &:- \text{parent}(Pname_1, \text{children}\{Person_1\}), \\
 &\quad \text{related}(Person_2, \text{relatives}\{Relative_2\}), \\
 &\quad Pname_1 = Person_2. \quad \square
 \end{aligned}$$

**Example 9** Let *Parent* be the nested relation in Figure 3, and let *Married* be the flat relation in Figure 4. Let  $Q$  be the following  $SQL^*/NR$  query that retrieves all groups of

people who are of the same generation, and the resultant relation Same-Generation of  $Q$  is shown in Figure 4.

It is assumed that a person  $P$  and a group of people  $G$  are of the same generation if  $P$ 's parent and a parent of a person in  $G$  are of the same generation or are respectively of the same generation as a married couple. It is further assumed that  $P$  is of the same generation as himself, and every person who is either a child or a parent is in the flat relation People (which is a relation with a single attribute *person*).

In  $Q$ , a set operation *UNION*, which is an infix operator in *SQL\*/NR*, is used in the following format:

SFW-Expression UNION SFW-Expression.

(The syntax, semantics, and transformation steps of UNION in *SQL\*/NR* are easily determined.)

```

INSERT INTO Same-Generation
  SELECT (SELECT Person AS Sg-Person      /* create tuples with singular value */
          FROM  $P$ -Grp) AS Sg-Grp
  FROM (NEST People on Person AS  $P$ -Grp)
ALSO
  SELECT (SELECT Person AS Sg-Person
          FROM  $P_1$ .Children
          UNION
          SELECT Person AS Sg-Person
          FROM  $P_2$ .Children) AS Sg-Grp
  FROM Parent  $P_1$ , Parent  $P_2$ , Same-Generation  $SG$ 
  WHERE  $P_1$ .Pname in  $SG$ .Sg-Grp AND  $P_2$ .Pname in  $SG$ .Sg-Grp
ALSO
  SELECT (SELECT Person AS Sg-Person
          FROM  $P_1$ .Children
          UNION
          SELECT Person AS Sg-Person
          FROM  $P_2$ .Children) AS Sg-Grp
  FROM Parent  $P_1$ , Parent  $P_2$ , Same-Generation  $SG_1$ , Same-Generation  $SG_2$ , Married
  WHERE  $P_1$ .Pname in  $SG_1$ .Sg-Grp AND  $P_2$ .Pname in  $SG_2$ .Sg-Grp AND
         Spouse-A in  $SG_1$ .Sg-Grp AND Spouse-B in  $SG_2$ .Sg-Grp

```

The transformed rule expressions of  $Q$ , in which *sg* denotes the resultant nested relation Same-Generation, are:

```

sg(sg-grp{ $Person_1$ }) :- people(p-grp{ $Person_1$ }).

sg(sg-grp{ $Person_1$ ,  $Person_2$ }) :- parent( $Pname_1$ , children{ $Person_1$ }),
                                     parent( $Pname_2$ , children{ $Person_2$ }),
                                     sg(sg-grp{ $Sg$ - $Person_3$ }),
                                     in( $Pname_1$ , sg-grp{ $Sg$ - $Person_3$ }),
                                     in( $Pname_2$ , sg-grp{ $Sg$ - $Person_3$ }).

```

|                 |       |                     |  |
|-----------------|-------|---------------------|--|
| Married         |       | Same-Generation     |  |
| <i>Spouse-A</i> |       | <i>Sg-Grp</i>       |  |
| <i>Spouse-B</i> |       | <i>Sg-Person</i>    |  |
| Steve           | Mary  | { Steve }           |  |
| Mary            | Steve | { Mary }            |  |
|                 |       | { Amy, Tim, Sally } |  |
|                 |       | { Bob, Joe, Karen } |  |

Figure 4: A Flat Relation *Married* and a Nested Relation *Same-Generation*

$\text{sg}(\text{sg-grp}\{Person_1, Person_2\}) :- \text{parent}(Pname_1, \text{children}\{Person_1\}),$   
 $\text{parent}(Pname_2, \text{children}\{Person_2\}),$   
 $\text{sg}(\text{sg-grp}\{Sg-Person_3\}),$   
 $\text{sg}(\text{sg-grp}\{Sg-Person_4\}),$   
 $\text{married}(Spouse-A_5, Spouse-B_5),$   
 $\text{in}(Pname_1, \text{sg-grp}\{Sg-Person_3\}),$   
 $\text{in}(Pname_2, \text{sg-grp}\{Sg-Person_4\}),$   
 $\text{in}(Spouse-A_5, \text{sg-grp}\{Sg-Person_3\}),$   
 $\text{in}(Spouse-B_5, \text{sg-grp}\{Sg-Person_4\}). \quad \square$

### 3 $SQL^*/NR$ Queries with Aggregates

In this section we discuss the inclusion of aggregate operators, namely avg, min, max, sum, and count, in  $SQL^*/NR$ . The inclusion of aggregates in  $SQL^*/NR$  offers a wider range of queries in  $SQL^*/NR$  that makes the language more appealing to standard  $SQL$  users. Furthermore, supporting aggregates in  $SQL^*/NR$  also provides a form of data storage reduction by allowing  $SQL^*/NR$  users the ability to evaluate aggregates on attributes in a database relation “on the fly” instead of storing the values generated by such operators in the database.

Built-in aggregate operators supported by  $SQL^*/NR$  are embedded within a subquery, called *Aggregate-subquery*. Attributes in a nested relation can be chosen as arguments of aggregate operators that apply to different groups of tuples. We define aggregates and a grouping construct<sup>2</sup> in  $SQL^*/NR$  queries, and include an approach for transforming an  $SQL^*/NR$  query with aggregates into  $LDL/NR$  rule expressions.

#### 3.1 Aggregate Subqueries

An *Aggregate-subquery* is an  $SQL^*/NR$  subquery as discussed in Section 2.1 with the inclusion of aggregate operators in the **Select** clause and a **group by** construct. An *Aggregate-subquery*, referenced as the Select-From-Where-GroupBy Expression (SFWGB-Expression for short), is of the form:

SELECT attribute-list-with-aggregates

<sup>2</sup>A grouping construct includes a grouping operator, i.e., **group-by**, that collects a number of tuples in a nested relation based on a subset of attributes in these tuples that have the same value.

FROM relation-list  
 [WHERE boolean-expression]  
 Group By column-names

The **Select** clause in an SFWGB-Expression has the following syntax:

**SELECT** *atomic-attr*<sub>1</sub>, ..., *atomic-attr*<sub>n</sub>, *non-atomic-attr*<sub>1</sub>, ..., *non-atomic-attr*<sub>m</sub>,  
*agg-str*<sub>1</sub>, ..., *agg-str*<sub>p</sub>

where *atomic-attr*<sub>i</sub> ( $1 \leq i \leq n$ ) and *non-atomic-attr*<sub>j</sub> ( $1 \leq j \leq m$ ) are as defined in Section 2.1.1, and *agg-str*<sub>k</sub>,  $1 \leq k \leq p$ , is of the form:

Agg ([DISTINCT] [relation-name.] attribute-name) AS agg-attr

where **Agg** is either **min**, **max**, **sum**, **count** or **avg** which is applied to **attribute-name**. There is an **AS** clause which specifies a reference name (i.e., agg-attr) to the result generated from the aggregation. The **DISTINCT** keyword, which is optional, eliminates duplicates before the aggregate operator is applied.

The difference between an SFW-Expression and an SFWGB-Expression lies in the fact that a **Select** clause in an SFWGB-Expression contains aggregate operators that is applied to one or more attributes on the same level of nesting in a nested relation. For example, to retrieve the average age of the children of each student, where the Student relation is as shown in Figure 1, we enter:

**SELECT** ... avg(Student.Children.Age) AS Children-Age-Avg,

Furthermore, there exists a *group-by* construct in an SFWGB-Expression but not in any SFW-Expression. In an SFWGB-Expression, the **group-by** construct is of the form:

**Group By** *atomic-attr*<sub>1</sub>, ..., *atomic-attr*<sub>n</sub>

where all *atomic-attr*<sub>i</sub>,  $1 \leq i \leq n$ , are atomic attributes at the same level of nesting in a nested relation to which the **Group By** operation is applied. These attributes specified in the **Group By** clause are used to form groups of tuples. Tuples that have the same value on all the attributes *atomic-attr*<sub>1</sub>, ..., *atomic-attr*<sub>n</sub> specified in the **Group By** clause are placed in one group. These groups are then used by the aggregate operators given in the **Select** clause of an SFWGB-Expression.

### 3.2 *SQL*<sup>\*</sup>/*NR* Queries with Aggregate Subqueries

An *SQL*<sup>\*</sup>/*NR* query with aggregates is an extended version of the *SQL*<sup>\*</sup>/*NR* query discussed in Section 2.2 with the addition of an *Aggregate-subquery* included in a **THEN INTO** statement. The format of an *SQL*<sup>\*</sup>/*NR* query with aggregates is as follows:

INSERT INTO *R*<sub>1</sub>  
     *Basis-subquery*  
 ALSO  
     *Recursive-subquery*<sub>1</sub>  
 ...  
 ALSO

| Parent       |                 |              | Temp          |                    |              | Dec-Info      |                  |
|--------------|-----------------|--------------|---------------|--------------------|--------------|---------------|------------------|
| <i>PName</i> | <i>Children</i> |              | <i>A-Name</i> | <i>Descendants</i> |              | <i>A-Name</i> | <i>D-Avg-Age</i> |
|              | <i>C-Name</i>   | <i>C-Age</i> |               | <i>D-Name</i>      | <i>D-Age</i> |               |                  |
| Daniel       | Tom             | 60           | Daniel        | Tom                | 60           |               |                  |
|              | Merle           | 55           |               | Merle              | 55           |               |                  |
| Merle        | Steve           | 30           |               | Steve              | 30           |               |                  |
|              | Ann             | 25           |               | Ann                | 25           |               |                  |
| Steve        | Joseph          | 5            |               | Joseph             | 5            |               |                  |
|              |                 |              |               |                    |              | Daniel        | 35               |

Figure 5: Relations *Parent*, *Temp*, and *Dec-Info*

*Recursive-subquery<sub>n</sub>*  
 THEN INTO  $R_2$   
*Aggregate-subquery*

where the **THEN INTO** statement includes the keyword *THEN INTO* and a nested relation  $R_2$  followed by an *Aggregate-subquery* (an SFWGB-Expression).  $R_1$ , which is the resultant relation generated by the **INSERT INTO** statement, is treated as a temporary (input) relation to which the *Aggregate-subquery* applies to yield the final desired relation  $R_2$ . The following example includes an *SQL\*/NR* query with aggregates.

**Example 10** Let *Parent* be the nested relation in Figure 5. We specify the following query that generates the relation *Dec-info* in Figure 5. *Dec-info* contains the average age of all the descendants of Daniel.

```

INSERT INTO Temp
    SELECT PName AS A-Name, (SELECT C-Name AS D-Name, C-Age AS D-Age
                             FROM Children) AS Descendants
    FROM Parent
    WHERE PName = "Daniel"
ALSO
    SELECT T.A-Name, (SELECT C-Name AS D-Name, C-Age AS D-Age
                     FROM Children) AS Descendants
    FROM Parent P, Temp T
    WHERE P.PName in T.Descendants.D-Name
THEN INTO Dec-Info
    SELECT A-Name, AVG(D-Age) AS D-Avg-Age
    FROM Temp
    Group By A-Name □

```

As shown in Figure 5, the temporary relation *temp* is generated as a result of evaluating the recursive query which retrieves all the descendants of "Daniel". Applying the *Aggregate-subquery* in the **THEN INTO** statement to *temp* yields the desired resultant relation *Dec-info*.

### 3.3 Transforming $SQL^*/NR$ Queries with Aggregates into Rule Expressions in $LDL/NR$

The transformation of the **INSERT INTO** statement of an  $SQL^*/NR$  query  $Q$  with aggregates to  $LDL/NR$  rule expressions is similar to that used for an  $SQL^*/NR$  query without aggregates. The description of an approach for transforming the **THEN INTO** statement with an *Aggregate-subquery* in  $Q$  into  $LDL/NR$  rule expressions is given in Appendix B.1.4. In this subsection we give an example to show the rule expressions in  $LDL/NR$  transformed from an  $SQL^*/NR$  with aggregates.

**Example 11** Let  $Q$  be the  $SQL^*/NR$  query with aggregates given in Example 10. The transformed rule expressions of  $Q$  are:

```
temp( $Pname$ , descendants{descendants'( $C-Name$ ,  $C-Age$ )}) :-
  parent( $Pname$ , children{children'( $C-Name$ ,  $C-Age$ )}),
   $Pname$  = "Daniel".
```

```
temp( $A-name_2$ , descendants{descendants'( $C-Name_1$ ,  $C-Age_1$ )}) :-
  parent( $Pname_1$ , children{children'( $C-Name_1$ ,  $C-Age_1$ )}),
  temp( $A-Name_2$ , descendants{descendants'( $D-Name_2$ ,  $D-Age_2$ )}),
  in( $Pname_1$ , descendants{descendants'( $D-Name_2$ )}).
```

```
dec-info( $A-Name$ ,  $D-Avg-Age$ ) :-
  group-by(temp( $A-Name$ , descendants{descendants'( $D-Name_1$ ,  $D-Age_1$ )}),
    [ $A-Name$ ],
    [ $D-Avg-Age$  = AVG( $D-Age_1$ )]). □
```

## 4 Summary and Future Work

We have proposed an extended  $SQL$ , called  $SQL^*/NR$ , that not only can handle both recursive queries and nested relations, but also allows aggregate operators. An approach, which transforms an  $SQL^*/NR$  query  $Q$  into rules expressions in  $LDL/NR$  which can be evaluated to retrieve the desired answers to  $Q$ , has also been presented in Appendix B. The proposed  $SQL^*/NR$  constructs, which includes query and subquery, have been implemented [Qar95]. The implementation includes accepting an  $SQL^*/NR$  query  $Q$  (defined according to the syntax described in Appendix A), transforming  $Q$  into rule expressions  $REs$  in  $LDL/NR$  (according to the transformation approach described in Appendix B), and evaluating  $REs$  to yield the desired result of  $Q$ .

For future work, we plan to examine the optimization of  $LDL/NR$  rule expressions that are generated from an  $SQL^*/NR$  query by adopting the “magic sets” approach. This optimization approach is a rewriting method that takes the advantages of the efficiency of the top-down evaluation strategy (which considers only “necessary” results). The simplicity and dependability of the bottom-up evaluation strategy in [LN95b], which uses set-term matching instead of unification, can be adopted for evaluating rewritten rules expressions in  $LDL/NR$  generated by the “magic sets” method.

# Appendix

## A *SQL\*/NR* BNF

The following is a modified BNF definition of the queries in *SQL\*/NR*. Non-distinguished symbols are enclosed with “<>”. The structure [...] indicates an optional entry, and the structure {...} indicates an additional zero or more repetitions of the entry.

```
<query expression> :: INSERT INTO <nested-relation-name> <basis-subquery>
                        [{ALSO <recursive-subquery>}]
                        [THEN INTO <nested-relation-name> <aggregate-subquery>]
<basis-subquery>, <recursive-subquery> :: SELECT <attribute-list>
                                         FROM <relation-list>
                                         [WHERE <boolean-expression>]
<aggregate-subquery> :: SELECT <attribute-list-with-aggregates>
                        FROM <relation-list>
                        [WHERE <boolean-expression>]
                        GROUP BY <column-name> {<column-name>}
<attribute-list> :: {<atomic-attr>}{<non-atomic-attr>}
<atomic-attr> :: [<relation-name>.] <attribute-name> [AS <column-name>]
<non-atomic-attr> :: [<rel-name>.] <embedded-relation-name> [AS <column-name>] |
                    (<basis-subquery>) AS <column-name>
<relation-name> :: <rel-name> | <rel-name>.<rel-name>
<rel-name> :: <nested-relation-name> | <embedded-relation-name> | <reference-name>
<relation-list> :: <relation> {<relation>}
<relation> :: <nested-relation-name> [<reference-name>] |
              [<nested-relation-name> | <reference-name> .]
              <embedded-relation-name> [<reference-name>] |
              (NEST <nested-relation-name> ON attribute-list AS <column-name>) |
              (UNNEST <nested-relation-name> ON <attribute-list>)
<boolean-expression> :: (<boolean-expression> <bool-optr> <boolean-expression>) |
                        <boolean-expression> <bool-optr> <boolean-expression> |
                        <LR-OP> <Comp-P> <RR-OP> |
                        <LS-OP> <Comp-SetM> <RS-OP>
<bool-optr> :: AND | OR
<LR-OP>, <RR-OP> :: <nested-rel-attr> | <constant>
<LS-OP> :: <nested-rel-attr>
<RS-OP> :: <nested-relation>
<nested-rel-attr> :: <attribute-name> | <rel-name>.<nested-rel-attr>
<nested-relation> :: <rel-name> | <rel-name>.<nested-relation>
<Comp-P> :: < | > | ≤ | ≥ | = | ≠
<Comp-SetM> :: in | notin
<attribute-name>, <column-name>, <embedded-relation-name>, <nested-relation-name>,
               <reference-name> :: alphabetic-character {alphanumeric-character}
<attribute-list-with-aggregates> :: <attribute-list>
                                   <agg> ([DISTINCT] [<relation-name>.] <attribute-name>) AS <attribute-name>
                                   {<agg> ([DISTINCT] [<relation-name>.] <attribute-name>) AS <attribute-name>}
<agg> :: MIN | MAX | SUM | COUNT | AVG
```

## B A Transformation Approach

This section, which includes an approach for the transformation of an *SQL\*/NR* query  $Q$  into rule expressions in *LDL/NR*, is divided into two subsections. First, we discuss how to transform each of the *Select*, *From*, *Where*, and *Group By* clauses in a subquery of  $Q$  into a subexpression of a rule expression in *LDL/NR*. (Of course, any subquery of  $Q$  without **Where** or **Group By** clause can be handled accordingly.) Then, we describe the transformation of the **INSERT INTO**, **ALSO**, and **THEN INTO** statements in  $Q$  which are based on the transformation of the subqueries of  $Q$  proposed in the first subsection. A complete transformation algorithm is given in [Qar95].

### B.1 Transforming an *SQL\*/NR* Subquery $SQ$ into a Rule Expression $RE$

#### B.1.1 Transforming the *From* Clause of $SQ$

The syntax of the **From** clause, as described in Section 2.1.2, consists of the keyword **FROM** followed by a list of arguments  $r_1, \dots, r_n$ , where  $r_p$  ( $1 \leq p \leq n$ ) may reference a relation, an embedded relation, a NEST or an UNNEST operation. For the tuple terms generated from the transformation of relations specified in the **From** clause of a subquery  $SQ$ , variables (attributes) having the same name in more than one tuple term (relation) must be distinguishable. To accomplish that, we append the subscript  $p$  in  $r_p$  ( $1 \leq p \leq n$ ) to all the atomic attributes in  $r_p$  during the transformation of  $r_p$ . Each  $r_p$  in the **From** clause is transformed accordingly as follows:

1.  $r_p$  is the relation  $rn$  with attributes  $A_1, \dots, A_m$  and an optional reference name.

$rn$  is included as a tuple term in the body of the resultant rule expression  $RE$  with  $A_{1_p}, \dots, A_{m_p}$  as its arguments. If  $A_i$  ( $1 \leq i \leq m$ ) is an atomic attribute, then the subscript  $p$  in  $r_p$  is appended to  $A_i$  to yield  $A_{i_p}$ ; otherwise,  $A_{i_p} = A_i$ . Each non-atomic attribute (i.e., embedded relation)  $A_i$  with attributes  $B_1, \dots, B_j$  becomes the set term  $A_i\{A'_i(B_1, \dots, B_j)\}$ , and the transformation process of  $A_i$  is recursively applied to each level of nesting in  $A_i$ . (If  $j = 1$ , then  $A'_i$  is ignored, and the transformed set term is  $A_i\{B_1\}$ .) Any reference name specified in  $r_p$  is recorded as an alias of  $rn$  in the look-up table which is used during the transformation process. The transformation of  $r_p$  yields  $rn(A_{1_p}, \dots, A_{m_p})$ .

2.  $r_p$  is the embedded relation  $ern$  with an optional reference name.

$r_p$  must be specified in the **From** clause of an incremental subquery  $ISQ$  in  $SQ$ . We first determine the subscript  $s$  associated with the relation  $rel$  within which  $ern$  is embedded as an attribute, and  $rel$  must be included as a relation in the **From** clause of  $SQ$ . We associate  $s$  with  $ern$  in the look-up table which allows the **Select** or **Where** clause of  $ISQ$  to reference attributes in  $ern$ , and append  $s$  to all the variables which are transformed from the atomic attributes in  $ern$ .

3.  $r_p$  is the relation(reference)-name.embedded-relation-name  $rn.ern$  with an optional reference name.

Same as Case 2.

4.  $r_p$  is a NEST operation of the format

(NEST Nested-Relation-Name ON Attribute-List AS Column-Name).

Assume that the Nested-Relation-Name is  $rn$  which has attributes  $A_1, \dots, A_m$  and the Attribute-List consists of attributes  $B_1, \dots, B_n$ , where  $\{B_1, \dots, B_n\} \subseteq \{A_1, \dots, A_m\}$ . Let  $\{C_1, \dots, C_k\} = \{A_1, \dots, A_m\} - \{B_1, \dots, B_n\}$ . If  $C_i, 1 \leq i \leq k$  ( $B_j, 1 \leq j \leq n$ ) is an atomic attribute, then the subscript  $p$  in  $r_p$  is appended to  $C_i$  ( $B_j$ ) to yield  $C_{i_p}$  ( $B_{j_p}$ ); otherwise,  $C_{i_p} = C_i$  ( $B_{j_p} = B_j$ ). This process is applied to each level of nesting in attribute  $C_i$  ( $B_j$ ) that is non-atomic.  $rn$  is then included in the body of  $RE$  with arguments  $C_{1_p}, \dots, C_{k_p}$  and the set term Column-Name  $cn$  which contains the tuple term  $cn'$  with arguments  $B_{1_p}, \dots, B_{n_p}$  (If  $n = 1$ , then  $cn'$  is ignored.). The transformation of  $r_p$  yields either

$$rn(C_{1_p}, \dots, C_{k_p}, cn\{cn'(B_{1_p}, \dots, B_{n_p})\}) \text{ or } rn(C_{1_p}, \dots, C_{k_p}, cn\{B_{1_p}\})$$

which is then included in the body of  $RE$ .

5.  $r_p$  is an UNNEST operation of the format

(UNNEST Nested-Relation-Name ON Attribute-List).

Assume that the Nested-Relation-Name is  $rn$  which has attributes  $A_1, \dots, A_m$  besides the embedded relations  $r_1, \dots, r_k$  specified in the Attribute-List. Further assume that each  $r_i$  ( $1 \leq i \leq k$ ) has attributes  $B_1^i, B_2^i, \dots, B_{s_j}^i$ . If  $A_q, 1 \leq q \leq m$  ( $B_q^s, 1 \leq s \leq k, 1 \leq q \leq s_j$ ) is an atomic attribute, then the subscript  $p$  in  $r_p$  is appended to  $A_q$  ( $B_q^s$ ) to yield  $A_{q_p}$  ( $B_{q_p}^s$ ); otherwise,  $A_{q_p} = A_q$  ( $B_{q_p}^s = B_q^s$ ). This process is applied to each level of nesting in each attribute  $A_q$  ( $B_q^s$ ) that is non-atomic. The transformation of  $r_p$  thus yields

$$rn(A_{1_p}, \dots, A_{m_p}, \dots, B_{1_p}^1, \dots, B_{1_{j_p}}^1, \dots, B_{1_p}^k, \dots, B_{k_{j_p}}^k)$$

which is then included in the body of  $RE$ .

### B.1.2 Transforming the Where Clause of $SQ$

To transform the boolean expression  $BE$  in the **Where** clause of  $SQ$ , two cases should be considered:

1.  $BE = LR-OP$  Comp-P  $RR-OP$  or  $LS-OP$  Comp-SetM  $RS-OP$

$BE$  is transformed according to the type of comparison (relational or set membership) operator in  $BE$ .

- (a)  $BE$  is  $LR-OP$  Comp-P  $RR-OP$

$LR-OP$   $A$  ( $RR-OP$   $B$ ) must be either an (embedded) attribute name or a constant. If  $A$  ( $B$ ) is a constant, then  $A$  ( $B$ ) remains unchanged; otherwise, all

relation names or reference names preceding  $A$  ( $B$ ) are stripped which yields  $A'$  ( $B'$ ), and the subscript  $i$  ( $j$ ) is appended to  $A'$  ( $B'$ ) to yield  $A'_i$  ( $B'_j$ ), where  $i$  ( $j$ ) is the subscript associated with the relation within which  $A$  ( $B$ ) is embedded, and this subscript was assigned during the transformation of the **From** clause in  $SQ$ . The resultant transformation of  $BE$  yields the subexpression  $L$  **Comp-P**  $R$ , where  $L$  is either  $A$  or  $A'_i$ , and  $R$  is either  $B$  or  $B'_j$ .

(b)  $BE$  is  $LS-OP$  Comp-SetM  $RS-OP$

$LS-OP$   $A$  must be a list of one or more (embedded) attributes  $Attr_1, \dots, Attr_n$ , and  $RS-OP$   $B$  is an (embedded) nested relation. To transform  $A$  ( $B$ ), we strip all the relation names or reference names (if there are any) preceding  $Attr_1, \dots, Attr_n$  ( $B$ ) to yield  $Attr'_1, \dots, Attr'_n$  ( $B'$ ). Hereafter, the subscript  $i$  associated with the nested relation within which  $Attr_1, \dots, Attr_n$  are embedded is appended to  $Attr_1, \dots, Attr_n$  or  $Attr'_1, \dots, Attr'_n$  to yield  $Attr_{1_i}, \dots, Attr_{n_i}$  or  $Attr'_{1_i}, \dots, Attr'_{n_i}$ . The subscript  $j$  associated with the nested relation  $B$  or with the relation within which  $B'$  is embedded is appended recursively to every atomic attribute  $C_k, 1 \leq k \leq m$ , in  $B$  ( $B'$ ). (Subscripts  $i$  and  $j$  are assigned during the transformation of the **From** clause in  $SQ$ .) The resultant transformation of  $BE$  yields the subexpression **in(notin)**( $L, R$ ), where  $L$  is either  $(Attr_{1_i}, \dots, Attr_{n_i})$  or  $Attr'_{1_i}, \dots, Attr'_{n_i}$ ,  $R$  is either  $B(C_{1_j}, \dots, C_{m_j})$  or  $B'(C_{1_j}, \dots, C_{m_j})$ , and **in(notin)** is a built-in predicate in  $LDL/NR$ .

2.  $BE = (BE_1 \text{ AND/OR } BE_2)$  or  $BE_1 \text{ AND/OR } BE_2$

$BE_1$  and  $BE_2$  are recursively processed until they become the basic boolean expressions  $EXP$  (i.e.,  $LR-OP$  Comp-P  $RR-OP$  or  $LS-OP$  Comp-SetM  $RS-OP$ ), and Case 1 is applied to  $EXP$  to yield all the desired subexpressions. During the recursive process, the logical AND and logical OR are replaced by ',' and ';', respectively. Furthermore, the precedence of evaluation specified by the parentheses, i.e., (), (if there is any) is retained in the transformed boolean expression which produces a list of predicates to be included in the body of  $RE$ .

### B.1.3 Transforming the Select Clause of $SQ$ without Aggregate Operators

As described in Section 2.1.1, the **Select** clause has the following format:

$$\text{SELECT } A_1, \dots, A_n, C_1, \dots, C_m$$

For each (embedded) attribute  $Attr_i$  specified in  $A_i$  ( $1 \leq i \leq n$ ) in the **Select** clause, the subscript  $p$ , which is given to the relation within which  $Attr_i$  is embedded during the transformation of the **From** clause in  $SQ$ , is appended to  $Attr_i$  to yield  $Attr_{i_p}$ . Any optional reference name  $N$  specified in  $A_i$  does not affect the transformation of  $Attr_i$  since  $N$  is used in  $SQ$  only to satisfy the constraint of compatible relation scheme or denote a new reference name of  $Attr_i$ .  $Attr_{i_p}$  is included in the head tuple term of  $RE$  as shown below.

$$r(Attr_{1_p}, \dots, Attr_{n_p}, \dots)$$

where  $r$  is the relation name following the keyword INSERT INTO in the given query  $Q$  that includes  $SQ$ .

On the other hand, for each non-atomic attribute  $NA_j$  specified in  $C_j$  ( $1 \leq j \leq m$ ) in the **Select** clause, there are two different cases (depending on the type of  $NA_j$ ) to be considered.

1.  $NA_j$  is the Embedded-Relation-Name  $ern$  with attributes  $B_1, \dots, B_m$ .

$ern$  is transformed into a set term which forms an argument  $ern$  of the head tuple term  $r$ . The subscript  $q$ , which is given to the relation  $s$  within which  $ern$  is embedded during the transformation of the **From** clause in  $SQ$ , should have been appended to attributes  $B_1, \dots, B_m$  in  $ern$  to yield  $B_{1_q}, \dots, B_{m_q}$ . This occurs because  $s$  must be specified in the **FROM** clause of  $SQ$ , and the subscript  $q$  in  $B_{i_q}$ ,  $1 \leq i \leq m$ , is handled as discussed earlier.  $B_{1_q}, \dots, B_{m_q}$  are included as arguments of the tuple term  $ern'$ , that is generated by the transformation algorithm, in  $ern$  (If  $m = 1$ , then  $ern'$  is ignored.). Once again, the optional reference name specified in  $C_j$  does not affect the transformation of  $NA_j$  for the same reason mentioned in the case of transforming  $A_i$ . Hence, the transformed  $C_j$  yields either

$$ern\{ern'(B_{1_q}, \dots, B_{m_q})\} \text{ or } ern\{B_{1_q}\}$$

in  $r(\dots, ern\{ern'(B_{1_q}, \dots, B_{m_q})\}, \dots)$  or  $r(\dots, ern\{B_{1_q}\}, \dots)$ .

2.  $C_j$  is an (SFW-Expression) AS Column-Name.

The **Select** clause of the SFW-Expression (which is an incremental subquery)  $ISQ$  in  $SQ$  is transformed into a structure called *inc-select* which has the set term Column-Name  $cn$ . The attributes  $Att_1, \dots, Att_n$ , which are transformed from the attributes specified in the **Select** clause of  $ISQ$  according to the transformation approach discussed in this subsection, are included as arguments of the tuple term  $cn'$  in  $cn$ . (If  $n = 1$ , then  $cn'$  is ignored.) *Inc-select* is then included as an argument of the head tuple term  $r$  of  $RE$ . Each embedded relation  $r_i$  ( $1 \leq i \leq n$ ) listed in the **From** clause of  $ISQ$  is associated with a subscript which is given to the relation within which  $r_i$  is embedded during the transformation of the **FROM** clause in  $SQ$ . If  $ISQ$  includes a **Where** clause  $W$ , then  $W$  is transformed and included in the body of  $RE$  as detailed in the transformation of the **Where** clause. Hence, the transformed  $C_j$  yields either

$$r(\dots, cn\{cn'(Att_1, \dots, Att_n)\}, \dots) : - \dots, [\text{transformed-where-clause}], \dots \quad .$$

or

$$r(\dots, cn\{Att_1\}, \dots) : - \dots, [\text{transformed-where-clause}], \dots \quad .$$

in  $RE$ .

#### B.1.4 Transforming $SQL^*/NR$ subqueries with Aggregates into Rule Expressions

The process of transforming the **From** and **Where** clauses of an  $SQL^*/NR$  subquery with aggregates is exactly the same as that for an  $SQL^*/NR$  subquery without aggregates as discussed in the previous subsections. We choose to adopt similar notation used by

[SR91, Gel93] to represent the **group by** construct and aggregate operators in an *LDL/NR* rule expression. The following is the general form of a **group by** subgoal (tuple term) that is to be included in the body of a rule expression *RE*. This subgoal contains the transformed aggregate constructs specified as arguments in the **Select** clause of the *Aggregate-subquery*:

$$\text{group-by}(r(t), [X_1, \dots, X_m], [Y_1 = \text{Agg}_1 ([\text{DISTINCT}] (Attr_1)), \dots, \\ Y_n = \text{Agg}_n ([\text{DISTINCT}] (Attr_n))])$$

where

- *r* is the relation to which the grouping is applied and is included in the **From** clause of the *Aggregate-subquery*.
- *t* represents the arguments in *r* which are either constants, variables, or set terms.
- The grouping list  $X_1, \dots, X_m$  consists of one or more variables that must appear in *t* and on which the grouping is based. ( $X_1, \dots, X_m$  are the attributes specified in the **group by** clause of the *Aggregate-subquery*.)
- The list of  $Y_1, \dots, Y_n$  is the aggregation list. Each  $Y_i$ ,  $1 \leq i \leq n$ , is a new variable (attribute) which is the reference name following an aggregate operator and the AS keyword in the **Select** clause of the *Aggregate-subquery*.  $Y_1, \dots, Y_n$  are included as arguments in the head tuple term of *RE*.
- $Attr_1, \dots, Attr_n$  are attribute names in *r* to which aggregate operators  $\text{Agg}_1, \dots, \text{Agg}_n$  (e.g. sum and count) are applied, respectively. A built-in predicate for each one of the aggregate operators that is represented by  $\text{Agg}_1, \dots, \text{Agg}_n$  (e.g., MIN, MAX, SUM, AVG, and COUNT), respectively, in the **group by** subgoal is provided in *LDL/NR*.
- Within the body of *RE*, the **group by** subgoal represents a relation over attributes (variables)  $X_1, \dots, X_m$  in the grouping list and attributes (variables)  $Y_1, \dots, Y_n$  in the aggregation list.

## B.2 Transforming an *SQL\*/NR* Query into Rule Expressions

As mentioned in Section 2.2, an *SQL\*/NR* query *Q* without aggregates consists of one *Basis-subquery* and *n* ( $0 \leq n$ ) different *Recursive-subqueries*. Since *Basis-Subquery* and *Recursive-subqueries* are both *SQL\*/NR* subqueries, each one of these subqueries is transformed as discussed in Section B.1. The relation name *R* in the INSERT INTO statement of *Q* denotes the name of the resultant relation, and is used as the head tuple term of every rule expression generated for each subquery of *Q* as mentioned in Appendix B.1.3.

The transformation of the *Basis-subquery* and *Recursive-subquery* in the **INSERT INTO** statement of an *SQL\*/NR* query *Q* with aggregates is exactly the same as discussed in the previous paragraph. The transformation of the *Aggregate-subquery* in the **THEN INTO** statement of *Q* has been described in the previous subsection. The relation name  $R_2$  specified after the **THEN INTO** keyword denotes the name of the resultant relation which

is constructed by using the temporary relation  $R_1$  specified in the **INSERT INTO** statement, and is used as the head tuple term of the rule expression resulting from transforming the *Aggregate-subquery* in  $Q$ . The attributes of  $R_2$  include all the atomic, non-atomic, and aggregate-attributes specified in the **Select** clause of the *Aggregate-subquery*.

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